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FORM PTO 1390 (REV. 11-2000)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NUMBER <b>2534-00066</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/031739</b>

INTERNATIONAL APPLICATION NO. <b>PCT/FI00/00585</b>	INTERNATIONAL FILING DATE <b>28 June 2000</b>	PRIORITY DATE CLAIMED <b>21 July 1999</b>
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TITLE OF INVENTION

**A Comonomer, and a Polymer Stabilized with it During Polymerization**

APPLICANT(S) FOR DO/EO/US

**Markku Auer, Carl-Erik Wilén, Juha Strandén, Ari Rosling, Jan Näsman (deceased) and Hendrik Luttkikhedde**

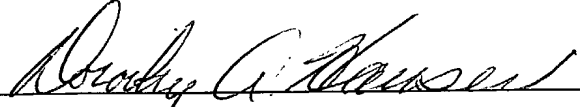
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - ☒ International Preliminary Examination Report.
  - ☒ Supplement to Transmittal Letter.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/031739</b>	INTERNATIONAL APPLICATION NO. <b>PCT/FI00/00585</b>	ATTORNEY'S DOCKET NUMBER <b>2534-00066</b>
<p align="center"><b>CERTIFICATE OF EXPRESS MAIL</b></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL-POST OFFICE TO ADDRESSEE, in an envelope addressed to: BOX PCT, COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 on <b>January 21, 2002</b>. Express Mail Label <b>EL812733606US</b>.</p> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 60%;">   <b>Dorothy A. Hauser</b> </div> <div style="width: 35%; text-align: right;"> <b>January 21, 2002</b>  Date </div> </div>		

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Markku Auer  
Carl-Erik Wilén  
Juha Strandén  
Ari Rosling  
Jan Näsman (deceased)  
Hendrik Luttkhedde

Filed Herein

Int'l App. No. PCT/FI00/00585

Priority Date: July 21, 1999

A COMONOMER, AND A POLYMER  
STABILIZED WITH IT DURING  
POLYMERIZATION

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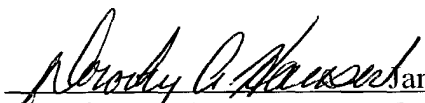
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 January 21, 2002  
Dorothy A. Hauser Date

PRELIMINARY AMENDMENT

Box: PCT  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

In the matter of the above-identified patent application, please enter the following:

IN THE SPECIFICATION:

The following heading and paragraph at page 1 have been added between the title and the first line of text as follows:

CROSS-REFERENCE TO RELATED APPLICATIONS

This application is the U.S. national stage application of International Application PCT/FI00/00585, filed June 28, 2000.

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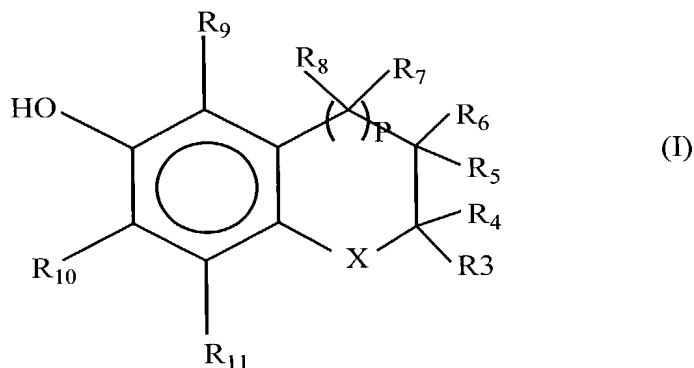
Attorney Docket No.: 2534-00066

Please add an "Abstract", attached to this Preliminary Amendment as new page 34.

IN THE CLAIMS:

Please amend claims 1, 4-14, 20-23, and 26-32.

1. (Amended) E-vitamin derivative or a compound analogous with it, having the formula (I)



where X is an oxygen or sulfur atom, p is an integer 0 to 1, and R<sub>3</sub>-R<sub>11</sub> are identical or different groups selected from hydrogen, C<sub>1-6</sub>alkyl or α-alkene having the formula (II)



where n, m and o are integers 0-4 independent of each other and m+n+o is an integer 1-6 and R<sub>1</sub> and R<sub>2</sub> are identical or different groups selected from hydrogen or C<sub>1-6</sub>alkyl or C<sub>1-6</sub>alkene, which may be substituted with an aromatic ring,

or R<sub>7</sub> and R<sub>8</sub> are together an oxygen atom and/or R<sub>4</sub> and R<sub>5</sub> and/or R<sub>10</sub> and R<sub>11</sub> form together with the carbon atoms to which they are bonded a benzene ring, which may be substituted with groups selected from hydrogen, C<sub>1-6</sub>alkyl or α-alkene.

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4. (Amended) Derivative as defined in claim 1, characterized in that one of groups  $R_3$  and  $R_4$  or one of groups  $R_5$  and  $R_6$  is hydrogen or a  $C_{1-6}$ alkyl and the other an  $\alpha$ -alkene consistent with formula (II) and  $R_7$ - $R_{11}$  are hydrogens or  $C_{1-6}$ alkyls.

5. (Amended) Derivative as defined in claim 1, characterized in that  $R_1$  and  $R_2$  are hydrogens.

6. (Amended) Derivative as defined in claim 1, characterized in that it has formula (III), where X is oxygen, one of groups  $R_3$  and  $R_4$  is a methyl group and the other is an  $\alpha$ -alkene consistent with formula (II), where  $n+m+o$  equals 1 or 2 and  $R_1$ - $R_2$  and  $R_5$ - $R_6$  are hydrogens and  $R_9$ - $R_{11}$  are methyl groups.

7. (Amended) Derivative as defined in claim 1, characterized in that it has formula (IV), where X is oxygen,  $R_1$ - $R_4$  are hydrogens, one of groups  $R_5$  and  $R_6$  is an  $\alpha$ -alkene consistent with formula (II), where  $n+m+o$  equals 4, and  $R_9$ - $R_{11}$  are methyl groups.

8. (Amended) Derivative as defined in claim 1, characterized in that one of groups  $R_9$ - $R_{11}$  is an  $\alpha$ -alkene consistent with formula (II) and two of the groups are hydrogens or  $C_{1-6}$ alkyls, and  $R_3$ - $R_8$  are hydrogens or  $C_{1-6}$ alkyls.

9. (Amended) Derivative as defined in claim 1, characterized in that  $R_{10}$  and  $R_{11}$  are hydrogens or  $C_{1-6}$ alkyls,  $R_9$  is an  $\alpha$ -alkene consistent with formula (II), where n is 0 or 1, m is 0 or 1 and o is an integer 1-4 and  $R_1$ - $R_2$  are hydrogens or  $C_{1-6}$ alkyls.

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10. (Amended) Derivative as defined in claim 1, characterized in that it has formula (III), X is oxygen, R<sub>1</sub>-R<sub>4</sub> and R<sub>10</sub>-R<sub>11</sub> are methyl groups, R<sub>5</sub>-R<sub>8</sub> are hydrogens and R<sub>9</sub> is an  $\alpha$ -alkene consistent with formula (II), where n is 0, m is 1 and o is 3.

11. (Amended) Derivative as defined in claim 1, characterized in that it has formula (III), X is oxygen, R<sub>3</sub>-R<sub>4</sub> and R<sub>10</sub>-R<sub>11</sub> are methyl groups, R<sub>5</sub>-R<sub>8</sub> are hydrogens and R<sub>9</sub> is an  $\alpha$ -alkene consistent with formula (II), where m is 0 and o+n equals 1.

12. (Amended) Derivative as defined in claim 1, characterized in that one of groups R<sub>9</sub>-R<sub>11</sub> is an  $\alpha$ -alkene consistent with formula (II) and the other groups are hydrogens or C<sub>1-6</sub>alkyls, and R<sub>3</sub>-R<sub>8</sub> are hydrogens or C<sub>1-6</sub>alkyls or R<sub>7</sub> and R<sub>8</sub> are together an oxygen atom and/or R<sub>4</sub> and R<sub>5</sub> form a benzene ring together with the carbon atoms to which they are bonded.

13. (Amended) Derivative as defined in claim 1, characterized in that R<sub>10</sub> is an  $\alpha$ -alkene consistent with formula (II) where n is 0 or 1, m is 0 or 1 and o is an integer 1-4 and R<sub>1</sub> and R<sub>2</sub> are methyl groups, R<sub>9</sub> is a C<sub>1-6</sub>alkyl, R<sub>11</sub> is a hydrogen, R<sub>7</sub> and R<sub>8</sub> are together an oxygen atom and R<sub>4</sub> and R<sub>5</sub>, together with the carbon atoms to which they are bonded, form a benzene ring.

14. (Amended) Derivative as defined in claim 1, characterized in that it is 6-hydroxy-2,5,7,8-tetramethyl-2-(but-3-enyl)-chromane, 6-hydroxy-2,5,7,8-tetramethyl-2-(prop-2-enyl)-chromane, 6-hydroxy-2,2,7,8-tetramethyl-5-(1,1-dimethyl-hex-5-enyl)-chromane, 6-hydroxy-2,2,7,8-tetramethyl-5-(prop-2-enyl)-chromane, 5-hydroxy-4,6,7-trimethyl-3-(hex-5-enyl)-benzofurane or a hydroxythioxanthone derivative.

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20. (Amended) Stabilized copolymer as defined in claim 17, characterized in that the olefin is ethylene, propylene, butylene and/or pentene.

21. (Amended) Stabilized copolymer as defined in claim 17, characterized in that the aromatic compound is styrene.

22. (Amended) Stabilized copolymer as defined in claim 17, characterized in that the copolymer consists of one olefin or styrene monomer and comonomer consistent with formula (III), (IV) or (V).

23. (Amended) Stabilized copolymer as defined in claim 17, characterized in that the copolymer has a substantially regular structure.

26. (Amended) Method as defined in claim 24, characterized in that the copolymerization is performed using a metallocene catalyst or its derivative.

27. (Amended) Method as defined in claim 24, characterized in that the catalyst used in copolymerization contains a  $\pi$ -cyclo-pentadienyl transition metal compound and an alumoxane compound.

28. (Amended) Method as defined in claim 24, characterized in that the catalyst used in copolymerization contains a  $\pi$ -cyclo-pentadienyl transition metal compound and a compound containing boron.



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29. (Amended) Method as defined in claim 24, characterized in that the comonomer has been complexed to the catalyst.

30. (Amended) Method as defined in claim 24, characterized in that the olefin is ethylen, propylene, butylene and/or pentene.

31. (Amended) Method as defined in claim 24, characterized in that the aromatic compound is styrene.

32. (Amended) Method as defined in claim 24, characterized in that the amount of monomer and stabilizing comonomer supplied into the process is exactly defined.

#### REMARKS

The present Preliminary Amendment is being filed in order to provide an Abstract of the Disclosure as new page 34 of the specification, to make of record the claim to priority and to rewrite a number of the claims to eliminate their multiple dependency and to bring these claims into the proper format for U.S. prosecution.

Applicant believes the application is in condition for examination and respectfully requests same.

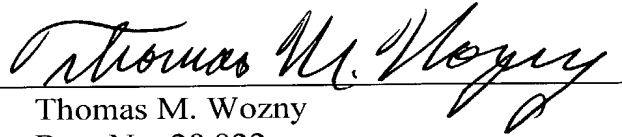
Markku Auer et al

Attorney Docket No.: 2534-00066

Examination of this application is requested.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

By   
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VERSION WITH MARKINGS TO SHOW CHANGES MADE

Applicants: Markku Auer et al

Attorney Docket No. 2534-00066

IN THE SPECIFICATION:

The following heading and paragraph at page 1 have been added between the title and the first line of text as follows:

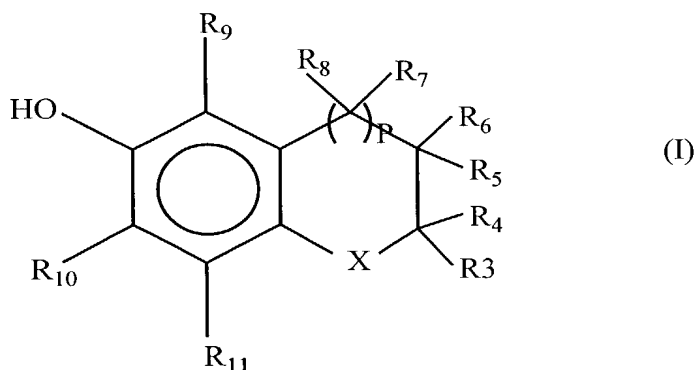
CROSS-REFERENCE TO RELATED APPLICATIONS

This application is the U.S. national stage application of International Application PCT/FI00/00585, filed June 28, 2000.

IN THE CLAIMS:

Claims 1, 4-14, 20-23, and 26-32 are amended as follows.

1. (Amended) E-vitamin derivative or a compound analogous with it, having the formula (I)



where X is an oxygen or sulfur atom, p is an integer 0 to 1, and R<sub>3</sub>-R<sub>11</sub> are identical or different groups selected from hydrogen, C<sub>1-6</sub>alkyl or α-alkene having the formula (II)



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where n, m and o are integers 0-4 independent of each other and m+n+o is an integer 1-6 and R<sub>1</sub> and R<sub>2</sub> are identical or different groups selected from hydrogen or C<sub>1-6</sub>alkyl or C<sub>1-6</sub>alkene, which may be substituted with an aromatic ring,

or R<sub>7</sub> and R<sub>8</sub> are together an oxygen atom and/or R<sub>4</sub> and R<sub>5</sub> and/or R<sub>10</sub> and R<sub>11</sub> form together with the carbon atoms to which they are bonded a benzene ring, which may be substituted with groups selected from hydrogen, C<sub>1-6</sub>alkyl or  $\alpha$ -alkene.

4. (Amended) Derivative as defined in claim 1 ~~or 2~~, characterized in that one of groups R<sub>3</sub> and R<sub>4</sub> or one of groups R<sub>5</sub> and R<sub>6</sub> is hydrogen or a C<sub>1-6</sub>alkyl and the other an  $\alpha$ -alkene consistent with formula (II) and R<sub>7</sub>-R<sub>11</sub> are hydrogens or C<sub>1-6</sub>alkyls.

5. (Amended) Derivative as defined in ~~any one of claims 1, 2 or 4~~ claim 1, characterized in that R<sub>1</sub> and R<sub>2</sub> are hydrogens.

6. (Amended) Derivative as defined in claim 1, ~~2 or 4~~ 5, characterized in that it has formula (III), where X is oxygen, one of groups R<sub>3</sub> and R<sub>4</sub> is a methyl group and the other is an  $\alpha$ -alkene consistent with formula (II), where n+m+o equals 1 or 2 and R<sub>1</sub>-R<sub>2</sub> and R<sub>5</sub>-R<sub>6</sub> are hydrogens and R<sub>9</sub>-R<sub>11</sub> are methyl groups.

7. (Amended) Derivative as defined in claim 1, ~~2 or 4~~ 5, characterized in that it has formula (IV), where X is oxygen, R<sub>1</sub>-R<sub>4</sub> are hydrogens, one of groups R<sub>5</sub> and R<sub>6</sub> is an  $\alpha$ -alkene consistent with formula (II), where n+m+o equals 4, and R<sub>9</sub>-R<sub>11</sub> are methyl groups.

8. (Amended) Derivative as defined in claim 1 ~~or 2~~, characterized in that one of groups R<sub>9</sub>-R<sub>11</sub> is an  $\alpha$ -alkene consistent with formula (II) and two of the groups are hydrogens or C<sub>1-6</sub>alkyls, and R<sub>3</sub>-R<sub>8</sub> are hydrogens or C<sub>1-6</sub>alkyls.

9. (Amended) Derivative as defined in ~~any one of claims 1, 2 or 8~~ claim 1, characterized in that R<sub>10</sub> and R<sub>11</sub> are hydrogens or C<sub>1-6</sub>alkyls, R<sub>9</sub> is an  $\alpha$ -alkene

consistent with formula (II), where n is 0 or 1, m is 0 or 1 and o is an integer 1-4 and R<sub>1</sub>-R<sub>2</sub> are hydrogens or C<sub>1-6</sub>alkyls.

10. (Amended) Derivative as defined in ~~any one of claims 1, 2 or 8-9~~ claim 1, characterized in that it has formula (III), X is oxygen, R<sub>1</sub>-R<sub>4</sub> and R<sub>10</sub>-R<sub>11</sub> are methyl groups, R<sub>5</sub>-R<sub>8</sub> are hydrogens and R<sub>9</sub> is an  $\alpha$ -alkene consistent with formula (II), where n is 0, m is 1 and o is 3.

11. (Amended) Derivative as defined in ~~any one of claims 1, 2 or 8-9~~ claim 1, characterized in that it has formula (III), X is oxygen, R<sub>3</sub>-R<sub>4</sub> and R<sub>10</sub>-R<sub>11</sub> are methyl groups, R<sub>5</sub>-R<sub>8</sub> are hydrogens and R<sub>9</sub> is an  $\alpha$ -alkene consistent with formula (II), where m is 0 and o+n equals 1.

12. (Amended) Derivative as defined in ~~claim 1 or 3~~, characterized in that one of groups R<sub>9</sub>-R<sub>11</sub> is an  $\alpha$ -alkene consistent with formula (II) and the other groups are hydrogens or C<sub>1-6</sub>alkyls, and R<sub>3</sub>-R<sub>8</sub> are hydrogens or C<sub>1-6</sub>alkyls or R<sub>7</sub> and R<sub>8</sub> are together an oxygen atom and/or R<sub>4</sub> and R<sub>5</sub> form a benzene ring together with the carbon atoms to which they are bonded.

13. (Amended) Derivative as defined in ~~any one of claims 1, 3 or 12~~ claim 1, characterized in that R<sub>10</sub> is an  $\alpha$ -alkene consistent with formula (II) where n is 0 or 1, m is 0 or 1 and o is an integer 1-4 and R<sub>1</sub> and R<sub>2</sub> are methyl groups, R<sub>9</sub> is a C<sub>1-6</sub>alkyl, R<sub>11</sub> is a hydrogen, R<sub>7</sub> and R<sub>8</sub> are together an oxygen atom and R<sub>4</sub> and R<sub>5</sub>, together with the carbon atoms to which they are bonded, form a benzene ring.

14. (Amended) Derivative as defined in ~~any one of claims 1-13~~ claim 1, characterized in that it is 6-hydroxy-2,5,7,8-tetramethyl-2-(but-3-enyl)-chromane, 6-hydroxy-2,5,7,8-tetramethyl-2-(prop-2-enyl)-chromane, 6-hydroxy-2,2,7,8-tetramethyl-5-(1,1-dimethyl-hex-5-enyl)-chromane, 6-hydroxy-2,2,7,8-tetramethyl-5-(prop-2-enyl)-chromane, 5-hydroxy-4,6,7-trimethyl-3-(hex-5-enyl)-benzofurane or a hydroxythioxanthone-derivative.

20. (Amended) Stabilized copolymer as defined in ~~any one of claims 17-19~~ claim 17, characterized in that the olefin is ethylene, propylene, butylene and/or pentene.

21. (Amended) Stabilized copolymer as defined in ~~any one of claims 17-20~~ claim 17, characterized in that the aromatic compound is styrene.

22. (Amended) Stabilized copolymer as defined in ~~any one of claims 17-24~~ claim 17, characterized in that the copolymer consists of one olefin or styrene monomer and comonomer consistent with formula (III), (IV) or (V).

23. (Amended) Stabilized copolymer as defined in ~~any one of claims 17-22~~ claim 17, characterized in that the copolymer has a substantially regular structure.

26. (Amended) Method as defined in ~~claim 24-or-25~~, characterized in that the copolymerization is performed using a metallocene catalyst or its derivative.

27. (Amended) Method as defined in ~~any one of claims 24-26~~ claim 24, characterized in that the catalyst used in copolymerization contains a  $\pi$ -cyclopentadienyl transition metal compound and an alumoxane compound.

28. (Amended) Method as defined in ~~any one of claims 24-27~~claim 24, characterized in that the catalyst used in copolymerization contains a  $\pi$ -cyclopentadienyl transition metal compound and a compound containing boron.

29. (Amended) Method as defined in ~~any one of claims 24-27~~claim 24, characterized in that the comonomer has been complexed to the catalyst.

30. (Amended) Method as defined in ~~any one of claims 24-29~~claim 24, characterized in that the olefin is ethylen, propylene, butylene and/or pentene.

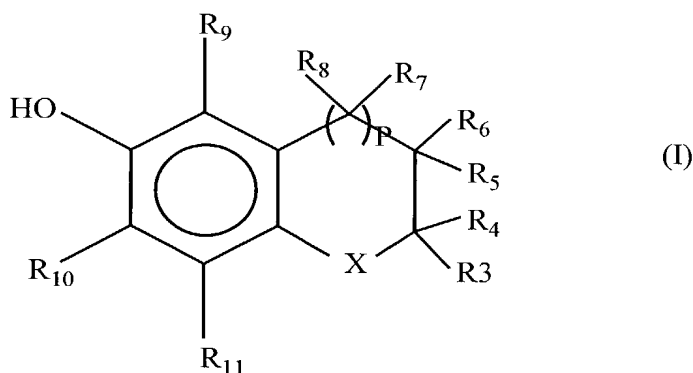
31. (Amended) Method as defined in ~~any one of claims 24-30~~claim 24, characterized in that the aromatic compound is styrene.

32. (Amended) Method as defined in ~~any one of claims 24-31~~claim 24, characterized in that the amount of monomer and stabilizing comonomer supplied into the process is exactly defined.

# A COMONOMER, AND A POLYMER STABILIZED WITH IT DURING POLYMERIZATION

## ABSTRACT

The invention concerns an E-vitamin derivative or a compound analogous with it, having formula (I), where X is an oxygen or sulfur atom, p is an integer 0 or 1, and R<sub>3</sub>-R<sub>11</sub> are identical or different groups selected from hydrogen, C<sub>1-6</sub>alkyl or α-alkene having formula (II), where n, m and o are integers 0-4 independent of each other and R<sub>1</sub> and R<sub>2</sub> are identical or different groups selected from hydrogen or C<sub>1-6</sub>alkyl or C<sub>1-6</sub>alkene, which may be substituted with an aromatic ring, or R<sub>7</sub> and R<sub>8</sub> are together an oxygen atom and/or R<sub>4</sub> and R<sub>5</sub> and/or R<sub>10</sub> and R<sub>11</sub> form together with the carbon atoms to which they are bonded a benzene ring, which may be substituted with groups selected from hydrogen, C<sub>1-6</sub>alkyl or α-alkene.



The invention also concerns the use of a derivative consistent with formula (I) as a stabilizing comonomer, and a stabilized copolymer and a method for the production of a stabilized copolymer.



A COMONOMER, AND A POLYMER STABILIZED WITH IT DURING  
POLYMERIZATION

The present invention relates to an E-vitamin derivative or a compound analogous with it as defined in the preamble of claim 1, to a method for the production of the aforesaid derivative, to its use as defined in claim 16, to a stabilized copolymer as defined in the preamble of claim 17 and to a method for the production of a stabilized copolymer as defined in the preamble of claim 24.

In prior art, specification FI 92212 presents a method for the production of a stable  $\alpha$ -olefin polymer using a Ziegler-Natta type catalyst in which the  $\alpha$ -olefin reacts with a complex comprising a metal of group I-IV of the periodic system and an  $\alpha$ -alkenyl substituted stabilizer co-ordinated to it with a heteroatom as a ligand. The catalyst is attached to a magnesium carrier, and a chain of at least 5 carbons is needed between the stabilizer residue of the stabilizer ligand and the polymerizing functional unsaturated bond.

Further, specification DE 1947590 describes how a component containing a hydrocarbon based, sterically protected hydroxyl group and linked to an  $\alpha$ -vinyl group situated at a distance of at least two carbon atoms is copolymerized in the polymerization conditions of olefins in the presence of an old-generation Ziegler-Natta catalyst. The problem is a low polymerization activity.

A generally known practice is to polymerize polyolefins using Ziegler-Natta type catalysts. The catalyst consists of a metalorganic compound in which the procatalyst is typically an at least partially reduced compound of a transition metal of group IV, V, VI or VII, usually a compound of e.g. titan or zirconium, while the cocatalyst is an organometallic compound of an alkali metal, alkaline earth metal, zinc

or aluminum, e.g. triethylaluminum and diethylmagnesium. An example of such a catalyst is a combination of titan chloride and triethylaluminum. The activity increases considerably when the above-mentioned components are attached to a fixed carrier; e.g.  $MgCl_2$ . Ziegler-Natta catalysts are characterized by an ability to give the polymer the particle form of the catalyst during polymerization, thus producing polymer particles of 0.2 - 5 mm. The polymer particle thus produced is porous, and without an additive increasing the stability, it is chemically dissolved during use.

A known practice is to use a stabilizer having a large molar mass, e.g. derivatives of tert-butyl phenol and pentaerythritol, as an additive. Another known practice is to use polymer-based and oligomeric molecules. A limitation is, however, a lower solubility in polymer. Substituted phenols and aromatic amines are widely used antioxidants. Usually the polymer product obtained after the polymerization reaction is melted in a so-called extruder stage, and additives improving stability are added to the molten product, whereupon the product is granulated.

Further, the use of so-called metallocene catalysts is known in industry. Such catalysts have been used since the early 1990's in polymerization processes beside or instead of Ziegler-Natta catalysts. Metallocene catalysts are based on a so-called sandwich structure, in which a metallic center, e.g. zirconium, is placed between two cyclopentadienyl rings (bischloro-zirconocene), and on derivatives of that structure. Metallocene catalysts have in some cases increased the polymerization activity even with comonomers that have previously been difficult to copolymerize. Therefore, metallocenes are increasingly used in various industrial applications.

A problem with previously known methods is that the stabilizing additive is added to the product

at the extruder stage, which is why it has not been possible to utilize a catalyst producing a particle product and a polymerization process because of the stability problem.

5 A further problem is that the additives in the polymer product vanish during use. One of the reasons for this is that the additives improving stability drift to the surface of the product, with the result that the stabilizing effect is diminished and  
10 disappears with time and that the additives may get into contact e.g. with foodstuffs. In addition, it has been established that some additives have estrogenic effects. The loss of additives in the product may also be partly due to evaporation taking place during processing or dissolution occurring during washing.  
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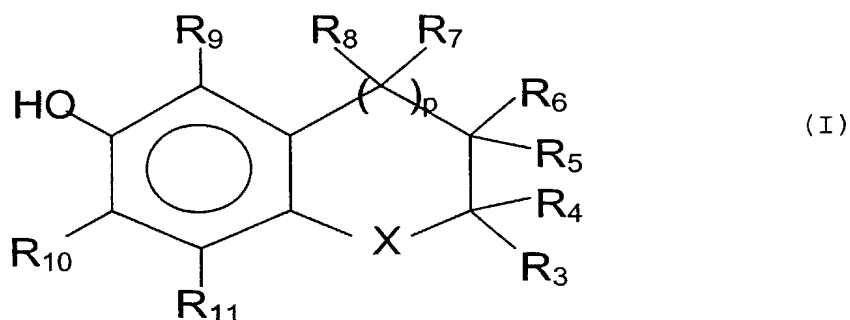
Another problem is irregular distribution of additives in the polymer product. Irregular distribution may result e.g. from an incompatibility of the stabilizers with paraffin-type hydrocarbon-based polymers due to a high polarity. In addition, the amount  
20 of stabilizer added to polyolefins has to be limited because of the tendency of the stabilizers to crystallize.

Further problems are a poor product yield and  
25 an atacticity of the product in polymerization carried out using a Ziegler-Natta catalyst.

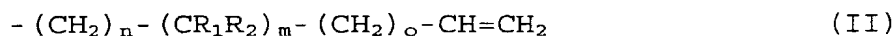
The object of the invention is to eliminate the problems referred to above and to disclose a new usable comonomer having a stabilizing effect. A further object of the invention is to disclose a copolymer stabilized during polymerization.  
30

The E-vitamin derivative or the compound analogous with it, its production method and the stabilized copolymer and its production method according  
35 to the invention are characterized by what is presented in the claims.

The E-vitamin derivative of the invention or the compound analogous with it, i.e. a compound having a corresponding structure, has the following formula (I):



where X is an oxygen or sulfur atom, p is an integer = 0 or 1, and R<sub>3</sub> - R<sub>11</sub> are identical or different groups selected from hydrogen, C<sub>1-6</sub>alkyl or α-alkene having the formula (II)

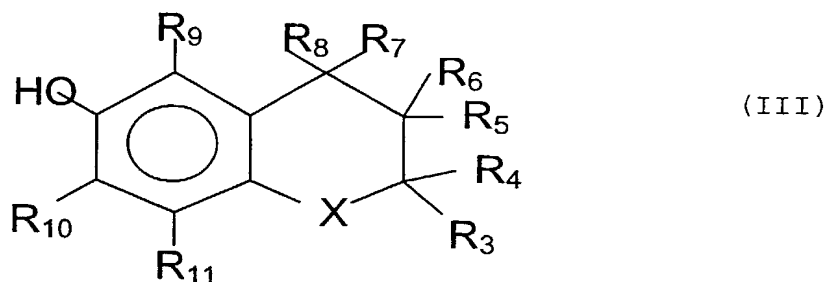


where n, m and o are integers 0 - 4 independent of each other and R<sub>1</sub> and R<sub>2</sub> are identical or different groups selected from hydrogen or C<sub>1-6</sub>alkyl or C<sub>1-6</sub>alkene, which may be substituted with an aromatic ring, e.g. a styrene derivative

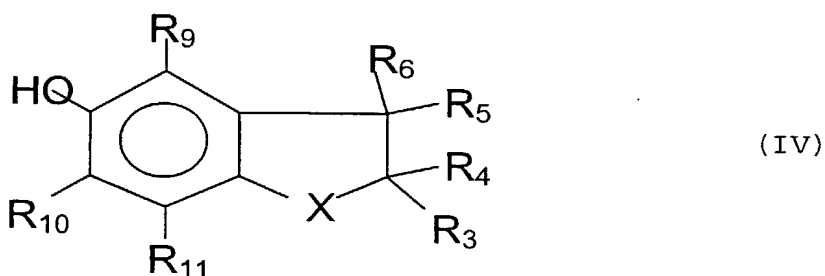
or R<sub>7</sub> and R<sub>8</sub> are together an oxygen atom and/or R<sub>4</sub> and R<sub>5</sub> and/or R<sub>10</sub> and R<sub>11</sub> form together with the carbon atoms to which they are bonded a benzene ring, which may be substituted with groups selected from hydrogen, C<sub>1-6</sub>alkyl or α-alkene.

C<sub>1-6</sub>alkyl or C<sub>1-6</sub>alkene means a branched or non-branched hydrocarbon chain containing 1 - 6 carbon atoms.

In an embodiment of the invention, the derivative has the formula (III)

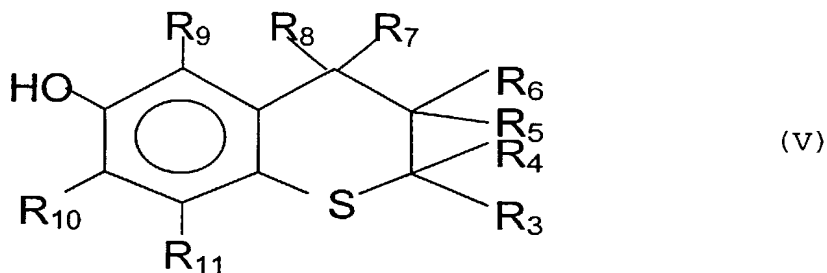


or the formula (IV)



where X is an oxygen or sulfur atom and R<sub>3</sub> - R<sub>11</sub> are identical or different groups selected from hydrogen, C<sub>1-6</sub>alkyl or α-alkene having the formula (II).

In an embodiment of the invention, the derivative has the formula (V)



where R<sub>3</sub> - R<sub>11</sub> are identical or different groups selected from hydrogen, C<sub>1-6</sub>alkyl or α-alkene having the formula (II),

or R<sub>7</sub> and R<sub>8</sub> are together an oxygen atom and/or R<sub>4</sub> and R<sub>5</sub> and/or R<sub>10</sub> and R<sub>11</sub> form together with the carbon atoms to which they are bonded a benzene

ring, which may be substituted with groups selected from hydrogen, C<sub>1-6</sub>alkyl or  $\alpha$ -alkene.

The E-vitamin derivative of the invention or the compound analogous with it preferably has a structure containing at least one fused benzene ring and a ring containing a heteroatom, and an  $\alpha$ -chain linked with them. The heteroatom, such as an oxygen or sulfur atom, and the hydroxy group are preferably bonded to opposite sides of the benzene ring of the heterocycle, with the result that an effect stabilizing the compound is produced.

One group of E-vitamin derivatives according to the invention is formed by compounds consistent with formula (III) or (IV), where one the 2-position groups R<sub>3</sub> and R<sub>4</sub> or 3-position groups R<sub>5</sub> and R<sub>6</sub> is hydrogen or C<sub>1-6</sub>alkyl and the other an  $\alpha$ -alkene consistent with formula (II), R<sub>7</sub> - R<sub>11</sub> are hydrogens or C<sub>1-6</sub>alkyls and the sum of integers m, n and o is 1 - 12 and R<sub>1</sub> and R<sub>2</sub> are as specified above.

A preferred group of compounds according to the invention are compounds (III) or (IV) in which one of the heterocycle 2-position groups R<sub>3</sub> and R<sub>4</sub> or of the heterocycle 3-position groups R<sub>5</sub> and R<sub>6</sub> is a hydrogen or C<sub>1-6</sub>alkyl while the other is an  $\alpha$ -alkene consistent with formula (II), where n + m + o is an integer 1 - 6 and R<sub>1</sub> and R<sub>2</sub> are hydrogens and R<sub>9</sub> - R<sub>11</sub> are C<sub>1-6</sub>alkyls. In an embodiment, the derivative is a compound consistent with formula (III), where X is oxygen, one of groups R<sub>3</sub> and R<sub>4</sub> is a methyl group and the other is an  $\alpha$ -alkene consistent with formula (II), where n + m + o equals 1 or 2 and R<sub>1</sub> and R<sub>2</sub> are hydrogens, R<sub>5</sub> - R<sub>8</sub> are hydrogens and R<sub>9</sub> - R<sub>11</sub> are methyls. R<sub>3</sub> or R<sub>4</sub> may alternatively be a hydrogen instead of a methyl group. In an embodiment, the derivative is a compound consistent with formula (IV), where X is oxygen, R<sub>1</sub> - R<sub>4</sub> are hydrogens, one of groups R<sub>5</sub> and R<sub>6</sub> is

an  $\alpha$ -alkene consistent with formula (II), where  $n + m + o$  equals 4, and  $R_9 - R_{11}$  are methyl groups.

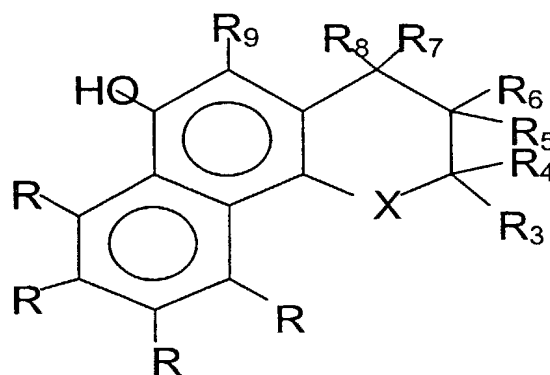
Another group of E-vitamin derivatives according to the invention consists of compounds consistent with formula (III) or (IV) where one of groups  $R_9 - R_{11}$  in 5, 7 and 8-position (formula III) or 4, 6 and 7-position (formula IV) in the heterocycle is an  $\alpha$ -alkene consistent with formula (II) and two of the groups are hydrogens or  $C_{1-6}$ alkyls, and the sum of the integers  $m$ ,  $n$  and  $o$  is in the range of 1 - 12 and  $R_1$  and  $R_2$  are as specified above.

A preferred group of compounds according to the invention consists of compounds (III) or (IV) in which  $R_9$  in 5-position (formula III) or 4-position in the heterocycle is an  $\alpha$ -alkene consistent with formula (II) where the integer  $n$  is 0 or 1,  $m$  is 0 or 1 and  $o$  is 1 - 4 and  $R_1$  and  $R_2$  are hydrogens or  $C_{1-6}$ alkyls.  $R_{10}$  and  $R_{11}$  are hydrogens or  $C_{1-6}$ alkyls. In a preferred case, in a derivative consistent with formula (III),  $X$  is oxygen,  $R_1 - R_4$  and  $R_{10} - R_{11}$  are methyls,  $R_5 - R_8$  are hydrogens and  $R_9$  is an  $\alpha$ -alkene consistent with formula (II) where  $n$  is 0,  $m$  is 1 and  $o$  is 3. In an embodiment, the derivative is a compound consistent with formula (III) where  $X$  is oxygen,  $R_3 - R_4$  and  $R_{10} - R_{11}$  are methyl groups,  $R_5 - R_8$  are hydrogens and  $R_9$  is an  $\alpha$ -alkene consistent with formula (II) where  $m$  is 0 and  $n + o$  is 1.

E-vitamin derivatives consistent with formula (III) include e.g. 6-hydroxy-2,5,7,8-tetramethyl-2-(but-3-enyl)-chromane, 6-hydroxy-2,5,7,8-tetramethyl-2-(prop-2-enyl)-chromane, 6-hydroxy-2,2,7,8-tetramethyl-5-(1,1-dimethyl-hex-5-enyl)-chromane and 6-hydroxy-2,2,7,8-tetramethyl-5-(prop-2-enyl)-chromane. E-vitamin derivatives consistent with formula (IV) include e.g. 5-hydroxy-4,6,7-trimethyl-3-(hex-5-enyl)-benzofurane.

In an embodiment of the invention, the compound analogous with the E-vitamin derivative is a compound consistent with formula (IV) in which one of groups  $R_9$  -  $R_{11}$  is an  $\alpha$ -alkene consistent with formula (II) and the other groups are hydrogens or  $C_{1-6}$ alkyls and  $R_3$  -  $R_8$  are hydrogens or  $C_{1-6}$ alkyls. Alternatively,  $R_7$  and  $R_8$  are together an oxygen atom and/or  $R_4$  and  $R_5$ , together with the carbon atoms to which they are bonded, form a benzene ring. In a preferred embodiment,  $R_{10}$  is an  $\alpha$ -alkene consistent with formula (II) where  $n$  is 0 or 1,  $m$  is 0 or 1 and  $o$  is an integer 1 - 4 and  $R_1$  and  $R_2$  are methyl groups,  $R_9$  is a  $C_{1-6}$ alkyl,  $R_{11}$  is a hydrogen,  $R_7$  and  $R_8$  are together an oxygen atom and  $R_4$  and  $R_5$ , together with the carbon atoms to which they are bonded, form a benzene ring. A compound consistent with formula (V) may be e.g. a thioxanthone derivative, such as a hydroxythioxanthone derivative.

The derivative according to the invention may naturally have any kind of structure corresponding to those described above, e.g.



An E-vitamin derivative consistent with formula (I) or a compound analogous with it is produced using suitable synthesizing methods of organic chemistry.



The E-vitamin derivative of the invention or the compound (I) analogous with it can be produced e.g.

5 A) by allowing a hydroquinone derivative to react with a suitable tertiary unsaturated alcohol or thiol.

In method A), a compound consistent with formula (I) can be produced directly by allowing a hydroquinone derivative, such as a mono-, di- or trialkylhydroquinone, e.g. dimethyl or trimethyl hydroquinone,  
10 to react with a suitable unsaturated alcohol, such as alka-dienol, e.g. 2,7-octadien-1-ol or 3-methyl-1,6-heptadien-3-ol, or thiol in a suitable solvent. Optionally, according to method A), in a first stage it is possible to prepare an intermediate product containing a (halogen-alkyl) group or a corresponding  
15 group by allowing a hydroquinone derivative to react with a suitable unsaturated alcohol, such as 2-alkyl-alka-1,x-dien-3-ol, e.g. 3-methylhept-1,6-dien-3-ol or 3-alkyl-x-halogen-alk-1-en-3-ol, e.g. 3-methyl-5-chlor-pent-1-en-3-ol, or thiol in the presence of a  
20 suitable catalyst in a suitable solvent. In a second stage, a compound consistent with formula (I) is prepared by splitting off a hydrogen halogenide or a corresponding compound from the halogen alkyl group or an equivalent group in the intermediate product in the  
25 presence of an alkali. A suitable catalyst is e.g. a metal halide, such as aluminum chloride and zinc chloride. Suitable solvents are e.g. acids, such as formic acid, sulfuric acid or equivalent, tetrahydrofurane (THF) and dichloromethane. A suitable alkali is e.g.  
30 1,8-diazabicyclo(5.4.0)undec-7-ene (DBU).

An E-vitamin derivative or a compound (I) analogous with it as provided by the invention can be produced e.g.

35 B) by allowing a hydroquinone derivative to react with a suitable unsaturated alcohol or thiol and

adding an  $\alpha$ -alkene to the fused heterocyclic derivative thus formed.

In a first step in method B), a fused heterocyclic derivative can be produced by allowing a hydroquinone derivative, such as mono-, di- or trialkylhydroquinone, to react with a suitable tertiary unsaturated alcohol, such as 3-alkyl-alk-1-en-3-ol e.g. 3-methyl-but-1-en-3-ol or thiol, in the presence of a suitable catalyst in a suitable solvent. A suitable catalyst is e.g. a metallic halide, such as aluminum chloride and zinc chloride. Suitable solvents are e.g. tetrahydrofuran (THF) and dichloromethane and acids, e.g. formic acid. In a second step in the method, an  $\alpha$ -alkene consistent with formula (II) is added to the heterocyclic derivative in acid conditions.

The E-vitamin derivative of the invention or a compound analogous with it is preferably used as a stabilizing comonomer, i.e. as a stabilizer, in copolymerization to produce a stabilized copolymer. The function of the stabilizer is to prevent and reduce the harmful effects of heat, UV radiation, oxygen and/or ozone on the copolymer.

The stabilized copolymer consists of at least one monomer variety and a stabilizing comonomer. The monomer in question is an olefin and/or a cyclic and/or aromatic compound containing an  $\alpha$ -alkene chain. The olefin monomer may be e.g. ethylene, propylene, 1-butene, isobutene and/or 4-methyl-1-pentene or the like or a mixture of these. The aromatic compound may be styrene. Naturally, the monomer may be of any type. The stabilizing comonomer is an E-vitamin derivative or a compound analogous with it which has the formula (I) and which has a clearly stabilizing effect and which can be polymerized under normal polymerization conditions. The stabilizing comonomer may be e.g. a derivative of chromane-, benzofurane- or hydroxythioxanthone.

The comonomer, i.e. stabilizer of the invention, is preferably bonded by its  $\alpha$ -alkene chain to a copolymer.

5 In an embodiment of the invention, the copolymer comprises one olefin or styrene monomer variety and an E-vitamin derivative according to the invention or a compound analogous with it having the formula (III), (IV) or (V).

10 The copolymer preferably belongs to so-called addition polymers. When an addition polymer is formed, no small-molecule side products are generated, i.e. the structural unit of the polymer has a monomeric composition. Monomers may have a linear or a branched hydrocarbon chain, and they contain at least one dual  
15 bond enabling a polymerization reaction to take place.

In the copolymer, different monomer varieties may be arranged in different ways, e.g. in a regular fashion, such as alternately, as a segment or in other ways like this. The monomers may also be arranged in  
20 an irregular fashion. The structure of the copolymer is preferably mainly regular, such as isotactic or syndiotactic, as is typically the case when monomers are polymerized using metallocene or Ziegler-Natta catalysts (stereospecific polymerization). A feature  
25 characteristic of especially products obtained via polymerization using metallocene catalysts is a syndiotactic form. The crystallizing properties of the polymer depend on the regularity of the structure, among other things. However, the polymer may also contain  
30 atactic parts or it may completely atactic.

In the method of the invention for the production of a stabilized copolymer, at least one monomer variety and a stabilizing comonomer are copolymerized in the presence of a catalyst in a single-stage  
35 or multi-stage polymerization process known in itself, using e.g. precipitation, solution or gas phase polymerization, which will not be described here in detail.

According to an embodiment, the catalyst used in copolymerization is preferably e.g. a liquid or solid metallocene catalyst or its derivative known in itself, which is formed from derivatives of transition metals, including lanthanides. Among the best transition metals for the production of catalysts are transition metals belonging to groups 3 and 4, and lanthanides whose oxidation number is +2, +3 or +4. The metallocene components contain 1 - 3 anionic or neutral groups having a  $\pi$ -bond. To improve the activity of the catalyst, a cocatalyst, which often consists of methylalumoxane (MAO), is generally used. More preferably, MAO can be replaced e.g. with compounds containing boron, e.g. tri(hydrocarbyl)boron and its halogenated derivatives. The cocatalyst used may be e.g. tetraphenyl borate. In the copolymerization method of the invention, it is possible to use e.g. a metallocene catalyst of the type described in patent application FI 941662. Naturally, in the copolymerization method in question, it is also possible to use other catalysts used in this field. The catalyst may comprise a solid carrier. The carrier may consist of any carrier material, which will not be described here in detail.

In an embodiment of the invention, the catalyst used in copolymerization contains a  $\pi$ -cyclopentadienyl transition metal compound and an alumoxane compound. In an alternative embodiment, the catalyst contains a  $\pi$ -cyclo-pentadienyl transition metal compound and a compound containing boron.

In an embodiment, the stabilizing comonomer is chemically complexed e.g. by its heteroatom to the catalyst, being bound via a chemical bond e.g. to a Zr atom of the catalyst. The comonomer may naturally also be used as such or mixed with other monomers e.g. in the polymerization solution during polymerization.

At the polymerization stage, the stabilizing comonomer and the monomers, e.g. olefin and/or styrene monomers, are copolymerized, in which process the comonomer of the invention is polymerized substantially  
5 along with other monomers, being simultaneously chemically bound to the copolymer. The monomer to be polymerized is bound to an active point, e.g. a Zr atom in the catalyst, causing faster polymerization. The polymer grows as the structural units of the copolymer are  
10 increasing. The copolymer contains different monomers in certain proportions.

The copolymer may be e.g. an ethylene/-, propylene/-, butylene/- or styrene/E-vitamin derivative-copolymer. The copolymerization product may naturally  
15 consist of more than two monomer varieties. Using different production methods and proportions of different monomers, it is possible to adjust the properties of the copolymer.

Copolymers as provided by the invention can  
20 be used either as such or in a mixture with other polymers. A copolymer stabilized with a comonomer according to the invention can be used e.g. as packing material in the foodstuff industry.

The E-vitamin derivative of the invention or  
25 the compound analogous with it has the advantage that it is able to polymerize in typical polymerization conditions with a good yield and that it has a good ability to inhibit oxidation, allowing it to be used as an oxidation inhibitor in polymer production. Fur-  
30 thermore, the comonomer improves the adhesion properties of polymers e.g. with respect to fillers.

The copolymer of the invention has the advantage that the stabilizing comonomer, i.e. stabilizer, is chemically bonded to the polymer structure during  
35 polymerization, which means that it is uniformly distributed in the entire polymer and the chemical bonds prevent the loss of stabilizer in the product, in

other words, they prevent the stabilizer from drifting toward the surface of the product during use. Thus, the stabilizer will not drift e.g. to a foodstuff protected with plastic and is therefore not transferred to people.

The copolymerization method of the invention has the advantage that it allows the use of a metallocene catalyst. In polymerization conditions, such a catalyst works better than other catalysts known at present. When the metallocene catalyst in question is used, a polymer product having a syndiotactic structure and therefore a higher melting point can be manufactured.

A further advantage provided by copolymerization according to the invention is that stabilization is performed during polymerization, in other words, the stabilizer is added as a comonomer to the polymerization product essentially during polymerization, so that the product is directly ready for further processing, in other words, the product thus obtained need not be melted again and fed into an extruder. Thus, a saving is also made in the investment costs of the extruder, which may amount to several tens of millions, even over a hundred million FIM.

In the following, the invention will be described by the aid of a detailed examples of its embodiments with reference to the drawings, wherein

Fig. 1 presents the results of a mass spectrometry analysis of a comonomer according to the invention, 5-hydroxy-4,6,7-trimethyl-3-(hex-5-enyl)-benzofurane,

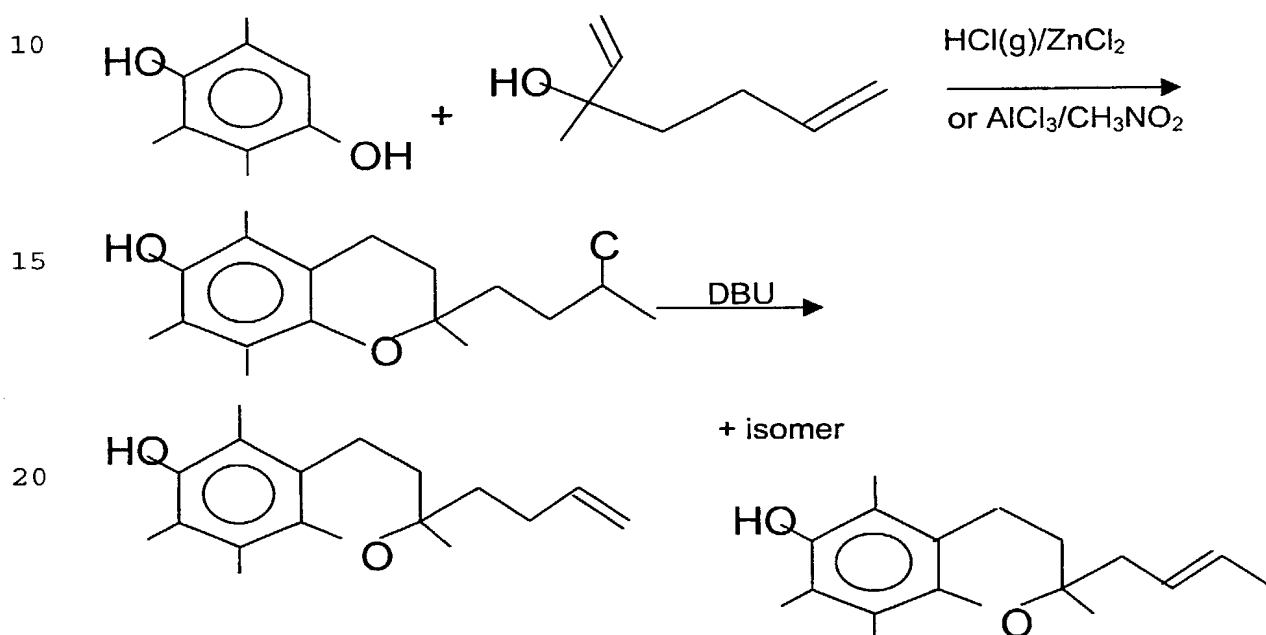
Fig. 2 presents the results of an NMR-spectrometry analysis of a comonomer according to the invention, 5-hydroxy-4,6,7-trimethyl-3-(hex-5-enyl)-benzofurane,

Fig. 3 presents the results of a mass spectrometry analysis of a comonomer according to the in-

vention, 6-hydroxy-2,5,7,8-tetramethyl-2-(but-3-enyl)-chromane, and

Fig. 4 presents the results of an NMR-spectrometry analysis of a comonomer according to the invention, 6-hydroxy-2,5,7,8-tetramethyl-2-(but-3-enyl)-chromane.

Example 1; preparation of 6-hydroxy-2,5,7,8-tetramethyl-2-(but-3-enyl)-chromane.



Preparation of 3-methylhept-1,6-dien-3-ol:

To 232 g (0.4 mol) of vinyl magnesium chloride in THF was added a solution consisting of 35 g (0.36 mol) of 5-hexene-2-one in 150 ml of anhydrous THF. The reaction mixture was stirred for 20 h at room temperature, whereupon it was cautiously poured into 450 ml of cold, saturated, aqueous  $\text{NH}_4\text{Cl}$  solution. The organic extract was concentrated and diffused with dichloromethane, dried using  $\text{Na}_2\text{SO}_4$  and concentrated. The residue was distilled, and the yield obtained was 35.5 g (78%) 3-methylhept-1,6-dien-3-ol; t.p. 45 °C/10 mmHg.

Preparation of 6-hydroxy-2,5,7,8-tetramethyl-2-(4-chloro-butyl)-chromane:

A suspension containing 20 g (0.150 mol) anhydrous  $\text{AlCl}_3$  in 200 ml of dichloromethane was stirred at 0 °C while at the same time adding 25.8 g (0.42 mol) of  $\text{CH}_3\text{NO}_2$  under a protective layer of argon. After the mixture had been stirred for 10 min at 0°C, 30.4 g (0.2 mol) of trimethyl-hydroquinone was added in batches. The brown suspension obtained as a result was cooled to -20 °C and a solution consisting of 3-methylhept-1,6-dien-3-ol in 750 ml of dichloromethane was added drop by drop during 0.5 h. The mixture thus produced was allowed to cool down slowly to room temperature, and it was stirred overnight, whereupon it was poured on ice/water. The organic layer was collected, washed twice using a  $\text{NaHCO}_3$  solution and concentrated. The yield thus obtained was 40 g of a raw product containing insignificant impurities. The raw product was distilled, and the yield thus produced was 15 g (25 %) of 6-hydroxy-2,5,7,8-tetramethyl-2-(4-chlorobutyl)-chromane fraction in the form of a light brown liquid, t.p. 180 °C/1 mmHg, which was crystallized overnight in a cooler mp X °C.  $^1\text{H}$  NMR: 1.22 (s, 3H,  $\text{CH}_3\text{-C}(2)$ ); 1.5(d, 3H,  $\text{-CHClCH}_3$ ) 1.65 (m, 2H,  $\text{ArCH}_2\text{-CH}_2\text{-}$ ) 1.8 (m, 4H,  $\text{-CH}_2\text{-CH}_2\text{-}$ ) 2.1, 2.12, 2.15 (3s, 9H,  $\text{ArCH}_3$ ); 2.62 (t, 2H,  $\text{CH}_2\text{Ar}$ ); 4.1 (m, 1H, CH) and 4.23 (s, 1H, OH).  $^{13}\text{C}$  NMR: 11.3, 11.8, 12.2, 20.7, 23.6, 25.2, 31.3, 34.4, 36.7, 59.1, 73.9, 117.1, 118.5, 121.1, 122.5, 144.7 and 145.2.

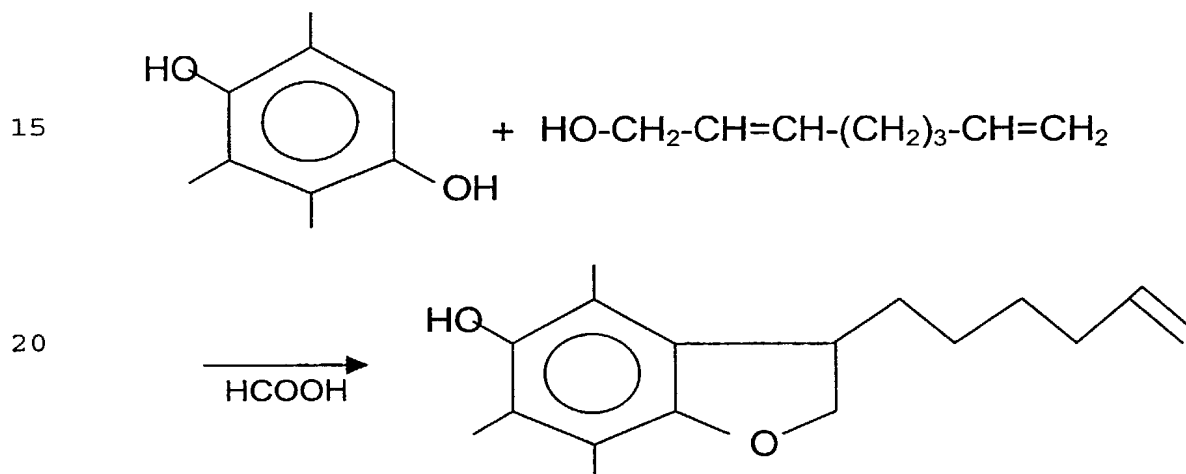
Preparation of 6-hydroxy-2,5,7,8-tetramethyl-2-(but-3-enyl)-chromane:

To 14.8 g (0.05 mol) of 6-hydroxy-2,5,7,8-tetramethyl-2-(4-chlorobutyl)-chromane was added 36.3 g (0.24 mol) of 1,8-diazabicyclo(5.4.0)undec-7-ene (DBU) and the solution was heated to 120 °C and stirred for 20 h. After that, the reaction mixture was



allowed to cool down to room temperature, poured into 350 ml of dichloromethane and washed repeatedly using diluted HCl. The organic layer was concentrated, and the yield thus obtained was 10.2 g of raw product that was free of DBU. In addition, the material was purified by distilling, and the result thus obtained was 5g (38 %) of 6-hydroxy-2,5,7,8-tetramethyl-2-(but-3-enyl)-chromane; t.p. 154 °C/1mmHg.

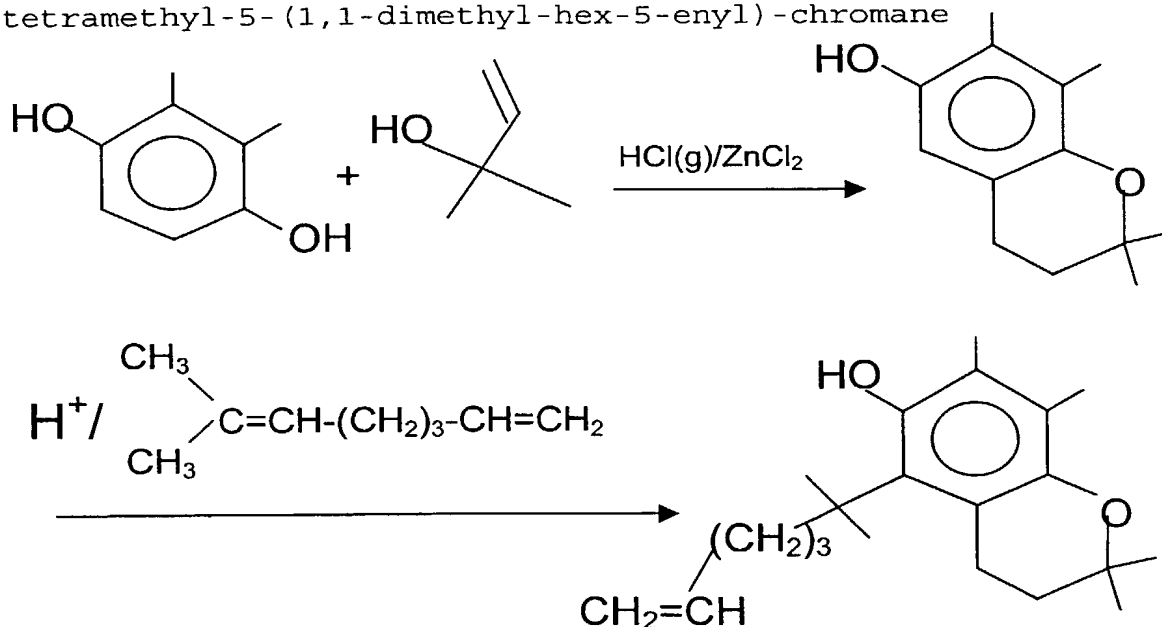
Example 2; preparation of 5-hydroxy-4,6,7-trimethyl-3-(hex-5-enyl)-benzofurane:



25 Trimethyl hydroquinone (23.7 g) and 2,7-octadien-1-ol (19.7 g) were weighed and put into a reaction vessel and 50 ml of formic acid was added into the mixture. The temperature of the mixture was raised to the boiling point of formic acid, and the reaction was allowed to continue for three hours. The reaction mixture was poured into 150 ml of ice-water mixture, and the organic phase was recovered in diethyl ether. The organic solvent was evaporated, whereupon 100 ml of methanol and 1 ml of hydrochloric acid was added to the residue. The reaction mixture was hydrolyzed at the boiling point of methanol for 30 min, whereupon the solvent was evaporated from the mixture. The mix-

ture was dissolved in diethyl ether, and the organic phase was washed twice using sodium hydrogen carbonate and five times using distilled water. The diethyl ether was evaporated. At this point, the yield was 48.0 g. n-hexane was added to the mixture, which was then stirred for 30 min at the boiling point of hexane, whereupon the mixture was allowed to cool down to room temperature. The portion not dissolved in hexane, mainly consisting of inert trimethyl hydroquinone and the product, was separated from the mixture by filtering. The solid portion was dissolved in a small amount of ethanol and precipitated by adding some water into the solution, whereupon the product (7.5 g) was separated by filtering. After that, based on mass spectrometry (Fig. 1) and NMR spectrometry (Fig. 2) analyses, the product was identified as 5-hydroxy-4,6,7-trimethyl-3-(hex-5-enyl)-benzofurane.

Example 3; preparation of 6-hydroxy-2,2,7,8-tetramethyl-5-(1,1-dimethyl-hex-5-enyl)-chromane

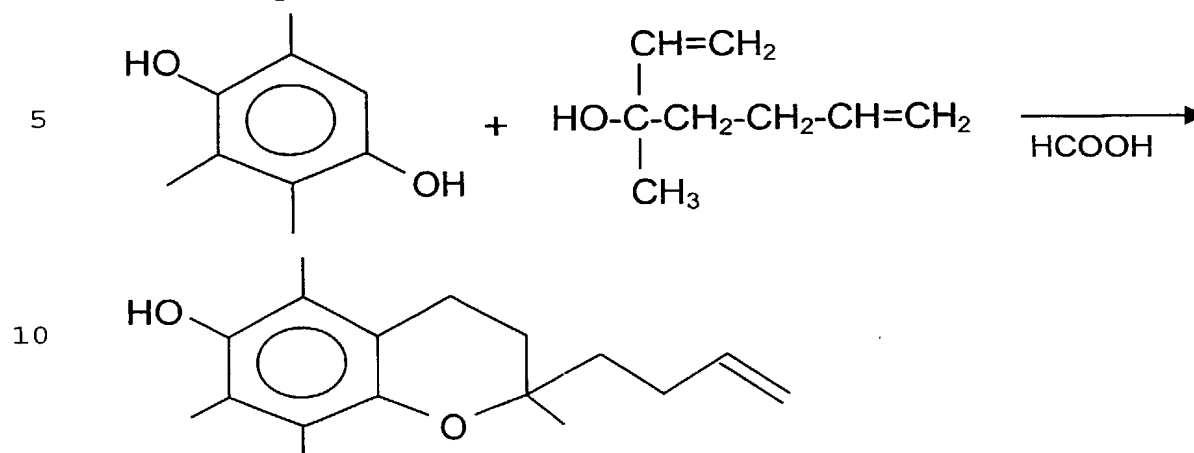


Dimethyl hydroquinone and formic acid were mixed together, and 3-methylbuten-3-ol was added little by little into the reaction mixture during one

hour. The mixture was allowed to react for 2 h at the boiling point of formic acid, whereupon the reaction was interrupted by adding some ice-water mixture into it. The organic phase was recovered in diethyl ether and washed several times with water. The organic phase was evaporated, and 75 ml of methanol and 1 ml of concentrated hydrochloric acid was added into the residue, whereupon the mixture has hydrolyzed for half an hour at the boiling point of methanol. The methanol was evaporated, and the residue was dissolved in diethyl ether, which was washed alternately twice with sodium hydrogen carbonate and five times with water. The diethyl ether was evaporated and the residue was distilled in a vacuum. The intermediate product (1.25 g), 6-hydroxy-2,2,7,8-tetramethyl-chromane, was recovered in conditions as follows:  $p = 0.2$  mbar and  $T = 110 - 120$  °C.

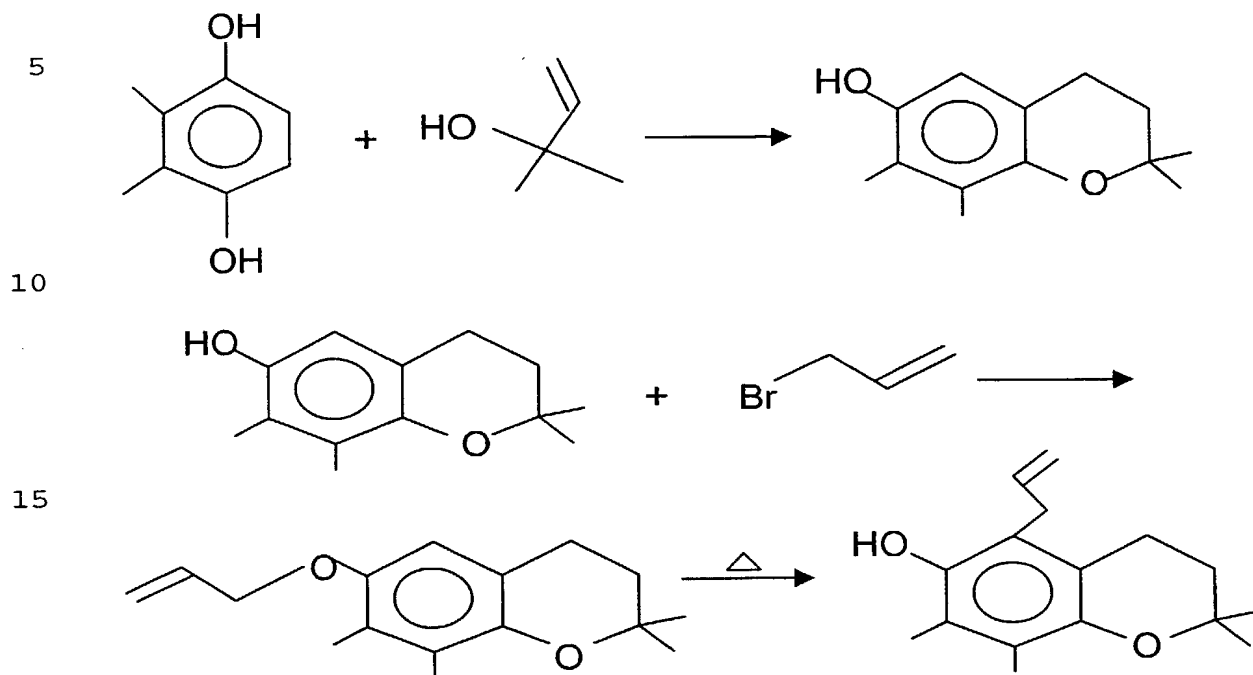
6-hydroxy-2,2,7,8-tetramethylchromane and 7-methyl-1,6-octadiene were mixed together. The reaction solution was heated, whereupon an acid catalyzer was added into it. The mixture was allowed to react during 24 hours, and the product, 6-hydroxy-2,2,7,8-tetramethyl-5-(1,1-dimethyl-hex-5-enyl)-chromane, was separated by the conventional method and purified by distilling.

Example 4; preparation of 6-hydroxy-2,5,7,8-tetramethyl-2-(but-3-enyl)-chromane



15            1.02 g of trimethyl hydroquinone, 0.844 g of  
3-hydroxy-3-methyl-1,6-heptadiene and 10 ml of formic  
acid (98 %) were added into a 50-ml reaction vessel.  
The temperature was increased to the boiling point of  
formic acid, at which temperature the reaction was al-  
20       lowed to continue for 2 h 50 min. The reaction was in-  
terrupted by pouring the mixture into an ice-water  
mixture, whereupon the organic phase was recovered and  
washed in the conventional manner. From the product  
were first separated the portions not dissolved in  
25       hexane, whereupon the product was dissolved in etha-  
nol, precipitated with water and washed using hexane  
and diethyl ether. The yield was 1.3 g. The product  
was identified via mass spectrometry (Fig. 3) and NMR  
spectrometry (Fig. 4) analyses as 6-hydroxy-2,5,7,8-  
30       tetramethyl-2-(but-3-enyl)-chromane.

Example 5; preparation of 6-hydroxy-2,2,7,8-tetramethyl-5-(prop-2-enyl)-chromane

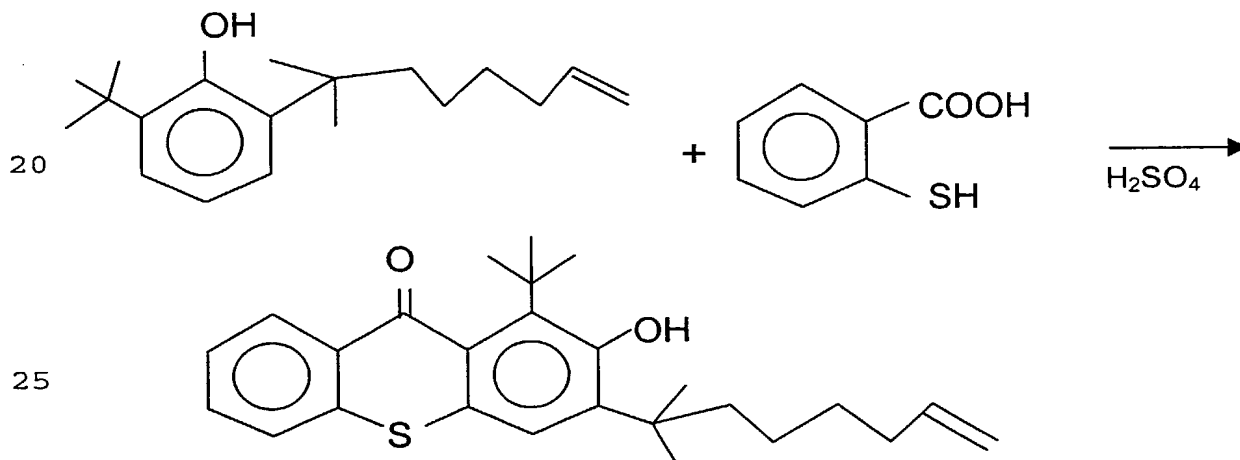


Dimethyl hydroquinone and formic acid were mixed together, and 3-methylbuten-3-ol was added little by little into the reaction mixture during one hour. The mixture was allowed to react for 2 h at the boiling point of formic acid, whereupon the reaction was interrupted by adding some ice-water mixture into the mixture. The organic phase was recovered in diethyl ether and washed several times with water. The organic phase was evaporated and 75 ml of methanol and 1 ml of concentrated hydrochloric acid was added into the residue, whereupon the mixture was hydrolyzed for half an hour at the boiling point of methanol. The methanol was evaporated, and the residue was dissolved in diethyl ether, which was washed alternately twice with sodium hydrogen carbonate and five times with water. The diethyl ether was evaporated, and the residue was distilled in vacuum. The intermediate product

(1.25 g), 6-hydroxy-2,2,7,8-tetramethyl-chromane, was recovered under the following conditions:  $p = 0.2$  mbar and  $T = 110 - 120$  °C.

The intermediate product (0.5 g) was dissolved in 10 ml of acetone.  $K_2CO_3$  (0.37 g) was added gradually and the mixture was stirred for 30 min, whereupon  $C_3H_5Br$  (0.33 g) was added gradually. A reflux condenser was used during the reaction. The final product, 6-hydroxy-2,2,7,8-tetramethyl-5-(prop-2-enyl)-chromane, was obtained by heating the mixture for 48 h. The product was separated from the mixture via column chromatography.

#### Example 6; Preparation of hydroxythioxanthone



A hydroxythioxanthone derivative was prepared from 6-tert-butyl-(2-(1,1-dimethylhept-6-enyl))-phenol, which can be produced e.g. by a method according to patent PCT/FI95/00196, and from thiosalicylic acid in a manner known in itself.

#### Example 7; copolymerization

A polymerization test was carried out to experiment on copolymerization of 6-hydroxy-2,5,7,8-tetramethyl-2-(but-3-enyl)-chromane and propylene in the presence of a metallocene catalyst. The metallocene catalyst consisted of  $\pi$ -cyclo-pentadienyl transition metal and alumoxane.

The treatment of the  $\pi$ -cyclo-pentadienyl transition metal and alumoxane as well as the comonomer was performed in a nitrogen cabinet containing under 2 ppm oxygen and under 5 ppm water. The polymerization was carried out in an autoclave equipped with a turbine mixer. The reaction temperature was adjusted with an accuracy of 0.3 °C.

The dry autoclave was evacuated and rinsed with water. This was repeated three times. A first batch of distilled toluene was fed into the reactor by using nitrogen over-pressure. 5 mg of ansa metallocene catalyst was dissolved in a second batch of MAO/toluene solution and pre-activated by letting them interact with each other at room temperature for 5 min.

The catalyst/activator mixture was fed into the reactor. Pre-polymerization was started by adding a propylene monomer. After 3 min., a comonomer diluted with toluene was added using propylene gas, until the partial pressure of propylene reached 2 bar. The polymerization activity was monitored by measuring the propylene consumption while maintaining a constant total pressure in the reactor by continuously adding gaseous propylene. After 30 min, polymerization was interrupted by stopping the supply of propylene and adding 100 ml of methanol. Polyolefin was filtered and the catalyst residue was removed by treating the product, i.e. the copolymer, with a 1-% methanol/HCl solution. The product was washed twice with ethanol, dried in vacuum at a temperature of 50 °C and weighed. The amount of copolymer obtained was 3 g. The copolymer

was diffused using a Soxhlet device before determining the concentration of bonded stabilizer. The results of the polymerization this means that are presented in Table 1.

5 Table 1 shows that the OIT temperature rises as the comonomer content increases, which is an indication of the effect of the stabilizer. Further, it can be seen from Table 1 that crystallization of the product decreases at higher copolymer content levels,  
10 indicating that the comonomer is chemically bonded to the rest of the polymer.

Table 1. The results of copolymerization of 6-hydroxy-2,5,7,8-tetramethyl-2-(but-3-enyl)-chromane  
15 and propylene.

Test	Stabilizer	Zr $\mu\text{mol/l}$	Al $\text{mmol/l}$	Stab /Zr $\text{Mol/Mol}$	Stab/Al $\text{Mol/mol}$	T <sub>m</sub> $^{\circ}\text{C}$	Crys %	Product $\text{kg/mol Zr h atm}$	OIT
1	-	42	126	-	-	128.4	73.4	5644	210
2	+	44	132	120	0.040	129.1	69.7	3984	229
3	+	44	132	120	0.040	131.0	64.6	3590	230
4	+	44	132	265	0.086	127.2	56.0	2510	244
5	+	44	132	356	0.120	129.0	58.2	2943	248

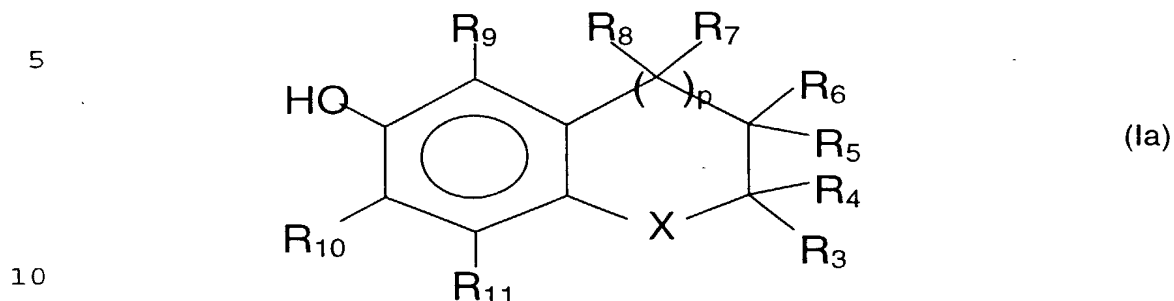
20 The E-vitamin derivative of the invention or the compound analogous with it is suited for use in different applications, e.g. for the manufacture of any kind of copolymer. Moreover, the copolymer of the invention is suited for use as different applications for any purpose.

25 The embodiments of the invention are not restricted to the examples presented above; instead, they may be varied in the scope of the following claims.



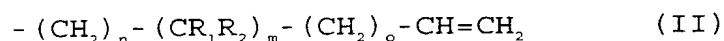
# AMENDED CLAIMS

1. E-vitamin derivative or a compound analogous with it, having the formula (I)



where X is an oxygen or sulfur atom, p is an integer 0 or 1, and  $R_3 - R_{11}$  are identical or different groups selected from hydrogen,  $C_{1-6}$ alkyl or  $\alpha$ -alkene having the formula (II)

15



where n, m and o are integers 0 - 4 independent of each other and  $m + n + o$  is an integer 1 - 6, and  $R_1$  and  $R_2$  are identical or different groups selected from hydrogen or  $C_{1-6}$ alkyl or  $C_{1-6}$ alkene, which may be substituted with an aromatic ring,

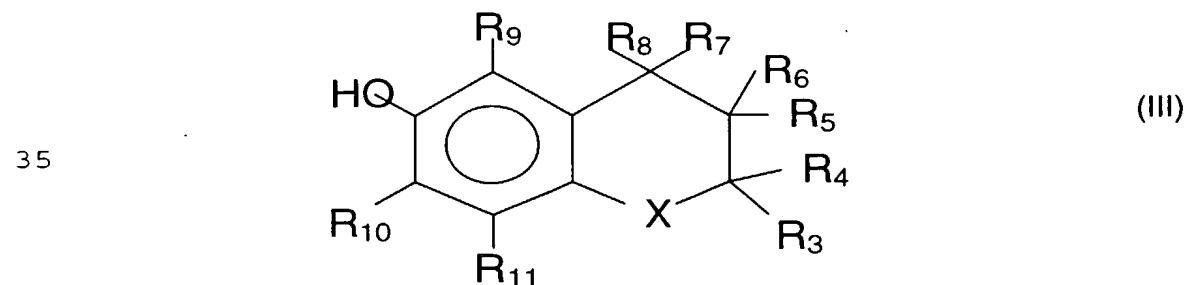
20

or  $R_7$  and  $R_8$  are together an oxygen atom and/or  $R_4$  and  $R_5$  and/or  $R_{10}$  and  $R_{11}$  form together with the carbon atoms to which they are bonded a benzene ring, which may be substituted with groups selected from hydrogen,  $C_{1-6}$ alkyl or  $\alpha$ -alkene.

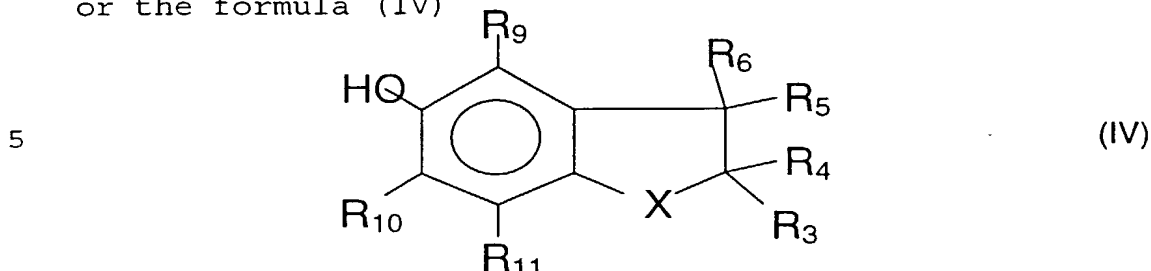
25

2. Derivative as defined in claim 1, characterized in that it has the formula (III)

30

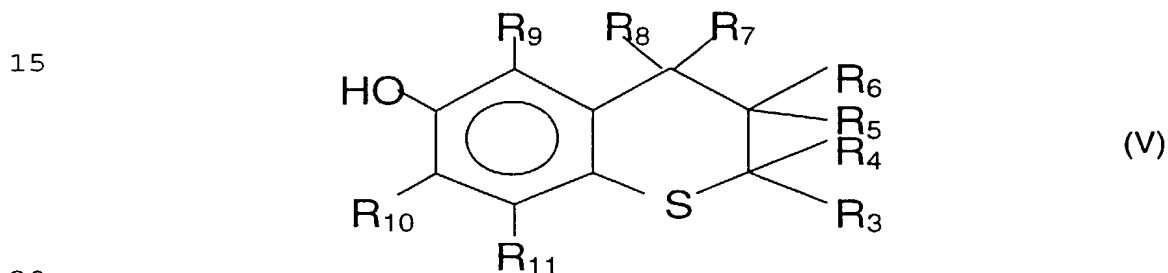


or the formula (IV)



10 where X is an oxygen or sulfur atom and  $R_3 - R_{11}$  are identical or different groups selected from hydrogen,  $C_{1-6}$ alkyl or  $\alpha$ -alkene having the formula (II).

3. Derivative as defined in claim 1, characterized in that it has the formula (V)



where  $R_3 - R_{11}$  are identical or different groups selected from hydrogen,  $C_{1-6}$ alkyl or  $\alpha$ -alkene having the formula (II),

25 or  $R_7$  and  $R_8$  are together an oxygen atom and/or  $R_4$  and  $R_5$  and/or  $R_{10}$  and  $R_{11}$  form together with the carbon atoms to which they are bonded a benzene ring, which may be substituted with groups selected from hydrogen,  $C_{1-6}$ alkyl or  $\alpha$ -alkene.

30 4. Derivative as defined in claim 1 or 2, characterized in that one of groups  $R_3$  and  $R_4$  or one of groups  $R_5$  and  $R_6$  is hydrogen or a  $C_{1-6}$ alkyle and the other an  $\alpha$ -alkene consistent with formula (II) and  $R_7 - R_{11}$  are hydrogens or  $C_{1-6}$ alkyls.

35 5. Derivative as defined in any one of claims 1, 2 or 4, characterized in that  $R_1$  and  $R_2$  are hydrogens.

6. Derivative as defined in claim 1, 2 or 4 -  
 5, characterized in that it has formula  
 (III), where X is oxygen, one of groups  $R_3$  and  $R_4$  is a  
 methyl group and the other is an  $\alpha$ -alkene consistent  
 5 with formula (II), where  $n + m + o$  equals 1 or 2 and  $R_1$   
 -  $R_2$  and  $R_9$  -  $R_8$  are hydrogens and  $R_9$  -  $R_{11}$  are methyl  
 groups.

7. Derivative as defined in claim 1, 2 or 4 -  
 5, characterized in that it has formula (IV),  
 10 where X is oxygen,  $R_1$  -  $R_4$  are hydrogens, one of groups  
 $R_5$  and  $R_6$  is an  $\alpha$ -alkene consistent with formula (II),  
 where  $n + m + o$  equals 4, and  $R_9$  -  $R_{11}$  are methyl  
 groups.

8. Derivative as defined in claim 1 or 2,  
 15 characterized in that one of groups  $R_9$  -  $R_{11}$   
 is an  $\alpha$ -alkene consistent with formula (II) and two of  
 the groups are hydrogens or  $C_{1-6}$ alkyls, and  $R_3$  -  $R_8$  are  
 hydrogens or  $C_{1-6}$ alkyls.

9. Derivative as defined in any one of claims  
 20 1, 2 or 8, characterized in that  $R_{10}$  and  $R_{11}$   
 are hydrogens or  $C_{1-6}$ alkyls,  $R_9$  is an  $\alpha$ -alkene consis-  
 tent with formula (II), where  $n$  is 0 or 1,  $m$  is 0 or 1  
 and  $o$  is an integer 1 - 4 and  $R_1$  -  $R_2$  are hydrogens or  
 $C_{1-6}$ alkyls.

25 10. Derivative as defined in any one of  
 claims 1, 2 or 8 - 9, characterized in that  
 it has formula (III), X is oxygen,  $R_1$  -  $R_4$  and  $R_{10}$  -  $R_{11}$   
 are methyl groups,  $R_5$  -  $R_8$  are hydrogens and  $R_9$  is an  $\alpha$ -  
 alkene consistent with formula (II), where  $n$  is 0,  $m$   
 30 is 1 and  $o$  is 3.

11. Derivative as defined in any one of  
 claims 1, 2 or 8 - 9, characterized in that  
 it has formula (III), X is oxygen,  $R_3$  -  $R_4$  and  $R_{10}$  -  $R_{11}$   
 are methyl groups,  $R_5$  -  $R_8$  are hydrogens and  $R_9$  is an  $\alpha$ -  
 35 alkene consistent with formula (II), where  $m$  is 0 and  
 $o + n$  equals 1.

12. derivative as defined in claim 1 or 3, characterized in that one of groups  $R_9 - R_{11}$  is an  $\alpha$ -alkene consistent with formula (II) and the other groups are hydrogens or  $C_{1-6}$ alkyls, and  $R_3 - R_8$  are hydrogens or  $C_{1-6}$ alkyls or  $R_7$  and  $R_8$  are together an oxygen atom and/or  $R_4$  and  $R_5$  form a benzene ring together with the carbon atoms to which they are bonded.

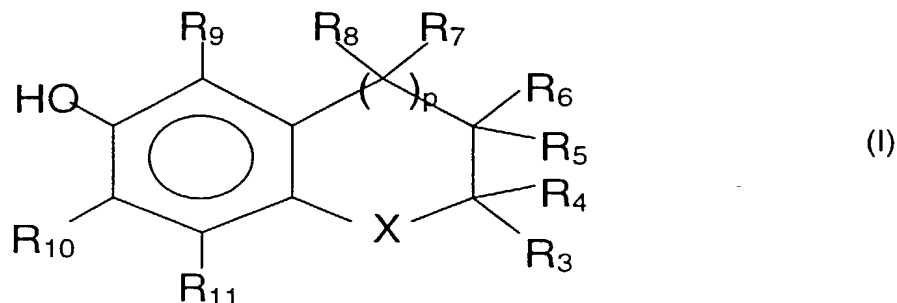
13. Derivative as defined in any one of claims 1, 3 or 12, characterized in that  $R_{10}$  is an  $\alpha$ -alkene consistent with formula (II) where n is 0 or 1, m is 0 or 1 and o is an integer 1 - 4 and  $R_1$  and  $R_2$  are methyl groups,  $R_9$  is a  $C_{1-6}$ alkyl,  $R_{11}$  is a hydrogen,  $R_7$  and  $R_8$  are together an oxygen atom and  $R_4$  and  $R_5$ , together with the carbon atoms to which they are bonded, form a benzene ring.

14. Derivative as defined in any one of claims 1 - 13, characterized in that it is 6-hydroxy-2,5,7,8-tetramethyl-2-(but-3-enyl)-chromane, 6-hydroxy-2,5,7,8-tetramethyl-2-(prop-2-enyl)-chromane, 6-hydroxy-2,2,7,8-tetramethyl-5-(1,1-dimethyl-hex-5-enyl)-chromane, 6-hydroxy-2,2,7,8-tetramethyl-5-(prop-2-enyl)-chromane, 5-hydroxy-4,6,7-trimethyl-3-(hex-5-enyl)-benzofurane or a hydroxythioxanthone derivative.

15. Method for producing an E-vitamin derivative or a compound analogous with it, which has the formula (Ia), characterized in that  
(A) a hydroquinone derivative is allowed to react with a suitable unsaturated alcohol or thiol, or  
(B) a hydroquinone derivative is allowed to react with a suitable unsaturated alcohol or thiol and an  $\alpha$ -alkylene is added to the fused heterocyclic derivative thus formed.

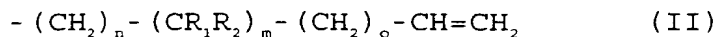
16. Use of an E-vitamin derivative or a compound analogous with it, having the formula I

5



where X is an oxygen or sulfur atom, p is an integer 0 or 1, and  $R_3 - R_{11}$  are identical or different groups selected from hydrogen,  $C_{1-6}$ alkyl or  $\alpha$ -alkene having the formula (II)

15



15

where n, m and o are integers 0 - 4 independent of each other and  $R_1$  and  $R_2$  are identical or different groups selected from hydrogen or  $C_{1-6}$ alkyl or  $C_{1-6}$ alkene, which may be substituted with an aromatic ring,

20

or  $R_7$  and  $R_8$  are together an oxygen atom and/or  $R_4$  and  $R_5$  and/or  $R_{10}$  and  $R_{11}$  form together with the carbon atoms to which they are bonded a benzene ring, which may be substituted with groups selected from hydrogen,  $C_{1-6}$ alkyl or  $\alpha$ -alkene

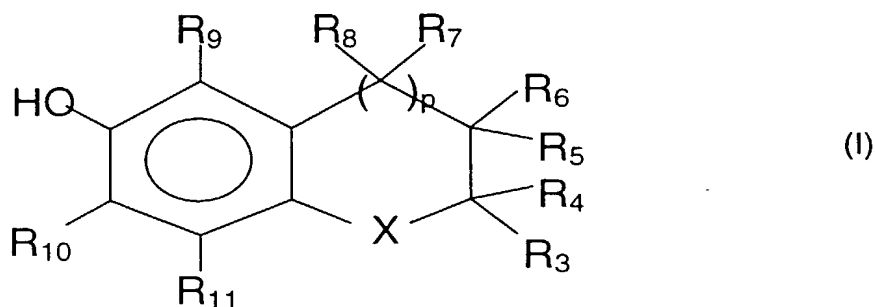
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as a stabilizing comonomer for the production of stabilized copolymer.

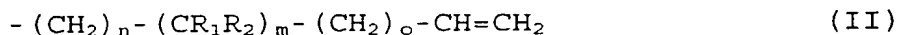
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17. Stabilized copolymer, comprising at least one monomer variety, which is an olefin and/or a cyclic and/or aromatic compound containing an  $\alpha$ -alkene chain, and a stabilizing comonomer, characterized in that the comonomer is an E-vitamin derivative or a compound analogous with it, which has the formula (I)

35



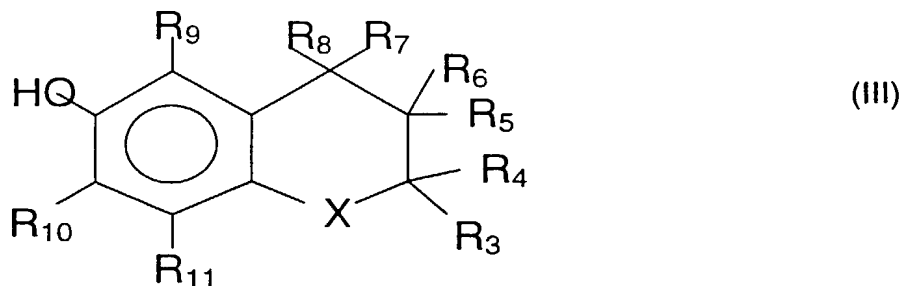
10 where X is an oxygen or sulfur atom, p is an integer 0 or 1, and R<sub>3</sub> - R<sub>11</sub> are identical or different groups selected from hydrogen, C<sub>1-6</sub>alkyl or α-alkene having the formula (II)



20 where n, m and o are integers 0 - 4 independent of each other and R<sub>1</sub> and R<sub>2</sub> are identical or different groups selected from hydrogen or C<sub>1-6</sub>alkyl or C<sub>1-6</sub>alkene, which may be substituted with an aromatic ring,

25 or R<sub>7</sub> and R<sub>8</sub> are together an oxygen atom and/or R<sub>4</sub> and R<sub>5</sub> and/or R<sub>10</sub> and R<sub>11</sub> form together with the carbon atoms to which they are bonded a benzene ring, which may be substituted with groups selected from hydrogen, C<sub>1-6</sub>alkyl or α-alkene.

18. Stabilized copolymer as defined in claim 17, characterized in that the comonomer has the formula (III)

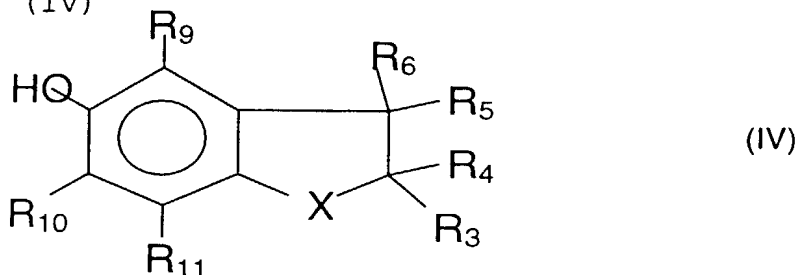


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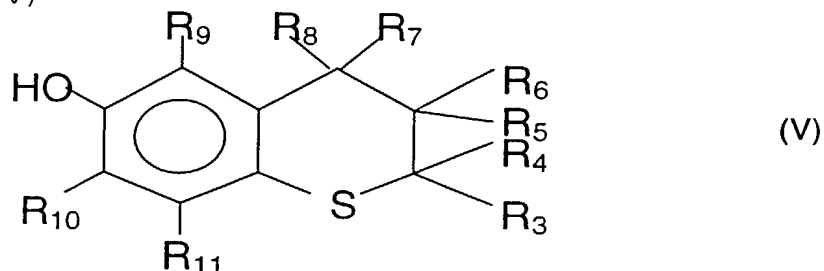
31

or the formula (IV)



where X is an oxygen or sulfur atom and  $R_3$  -  $R_{11}$  are identical or different groups selected from hydrogen,  $C_{1-6}$ alkyl or  $\alpha$ -alkene having the formula (II).

19. Stabilized copolymer as defined in claim 17, characterized in that the comonomer has the formula (V)



20 where  $R_3$  -  $R_{11}$  are identical or different groups selected from hydrogen,  $C_{1-6}$ alkyl or  $\alpha$ -alkene having the formula (II),

or  $R_7$  and  $R_8$  are together an oxygen atom and/or  $R_4$  and  $R_5$  and/or  $R_{10}$  and  $R_{11}$  form together with the carbon atoms to which they are bonded a benzene ring, which may be substituted with groups selected from hydrogen,  $C_{1-6}$ alkyl or  $\alpha$ -alkene.

20. Stabilized copolymer as defined in any one of claims 17 - 19, characterized in that the olefin is ethylene, propylene, butylene and/or pentene.

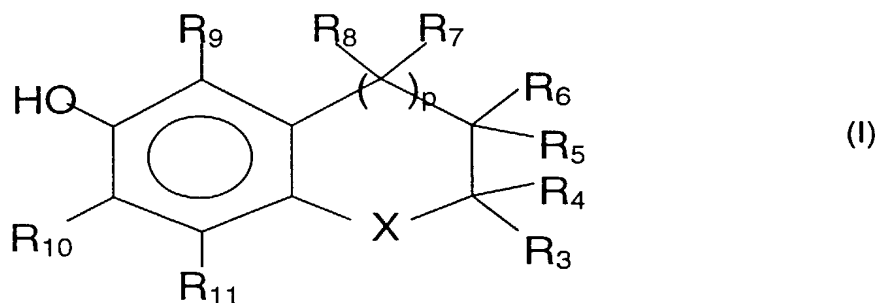
21. Stabilized copolymer as defined in any one of claims 17 - 20, characterized in that the aromatic compound is styrene.

22. Stabilized copolymer as defined in any one of claims 17 - 21, characterized in that the copolymer consists of one olefin or styrene mono-

mer and comonomer consistent with formula (III), (IV) or (V).

23. Stabilized copolymer as defined in any one of claims 17 - 22, characterized in that the copolymer has a substantially regular structure.

24. Method for the production of stabilized copolymer, wherein at least one monomer variety, which is an olefin and/or a cyclic and/or aromatic compound containing an  $\alpha$ -alkene chain, and a stabilizing comonomer are copolymerized in the presence of a catalyst by a polymerization technique known in itself, characterized in that the comonomer used is an E-vitamin derivative or a compound analogous with it, having the formula (I)



where X is an oxygen or sulfur atom, p is an integer 0 or 1, and  $R_3 - R_{11}$  are identical or different groups selected from the set hydrogen,  $C_{1-6}$ alkyl or  $\alpha$ -alkene having the formula (II)



where n, m and o are integers 0 - 4 independent of each other and  $R_1$  and  $R_2$  are identical or different groups selected from hydrogen or  $C_{1-6}$ alkyl or  $C_{1-6}$ alkene, which may be substituted with an aromatic ring,

or  $R_7$  and  $R_8$  are together an oxygen atom and/or  $R_4$  and  $R_5$  and/or  $R_{10}$  and  $R_{11}$  form together with the carbon atoms to which they are bonded a benzene



25. Method as defined in claim 24, characterized in that the comonomer used is a comonomer consistent with formula (III), (IV) or (V).

10                    27. Method as defined in any one of claims 24  
- 26, characterized in that the catalyst used  
in copolymerization contains a  $\pi$ -cyclo-pentadienyl  
transition metal compound and an alumoxane compound.

29. Method as defined in any one of claims 24  
20 - 28, characterized in that the comonomer has  
been complexed to the catalyst.

25            31. Method as defined in any one of claims 24  
- 30, characterized in that the aromatic  
compound is styrene.

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KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian  
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European  
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IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG,  
CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(72) Inventors; and

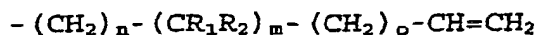
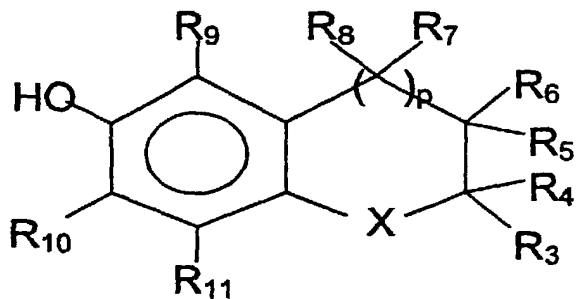
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ROSLING, Ari [FI/FI]; Åbo Akademi, Teknisk Poly-  
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Published:

- With international search report.
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ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.

(54) Title: A COMONOMER, AND A POLYMER STABILIZED WITH IT DURING POLYMERIZATION



(I)

(II)

(57) Abstract: The invention concerns  
an E-vitamin derivative or a compound  
analogous with it, having formula (I),  
where X is an oxygen or sulfur atom,  
p is an integer 0 or 1, and R<sub>3</sub> - R<sub>11</sub> are  
identical or different groups selected  
from hydrogen, C<sub>1-6</sub>alkyl or α-alkene  
having formula (II), where n, m and o  
are integers 0 - 4 independent of each  
other and R<sub>1</sub> and R<sub>2</sub> are identical or  
different groups selected from hydrogen  
or C<sub>1-6</sub>alkyl or C<sub>1-6</sub>alkene, which may  
be substituted with an aromatic ring, or  
R<sub>7</sub> and R<sub>8</sub> are together an oxygen atom  
and/or R<sub>4</sub> and R<sub>5</sub> and/or R<sub>10</sub> and R<sub>11</sub> form  
together with the carbon atoms to which  
they are bonded a benzene ring, which  
may be substituted with groups selected  
from hydrogen, C<sub>1-6</sub>alkyl or α-alkene.

The invention also concerns the use of a derivative consistent with formula (I) as a stabilizing comonomer, and a stabilized copolymer and a method for the production of a stabilized copolymer.

WO 01/05781 A1

10-031,739

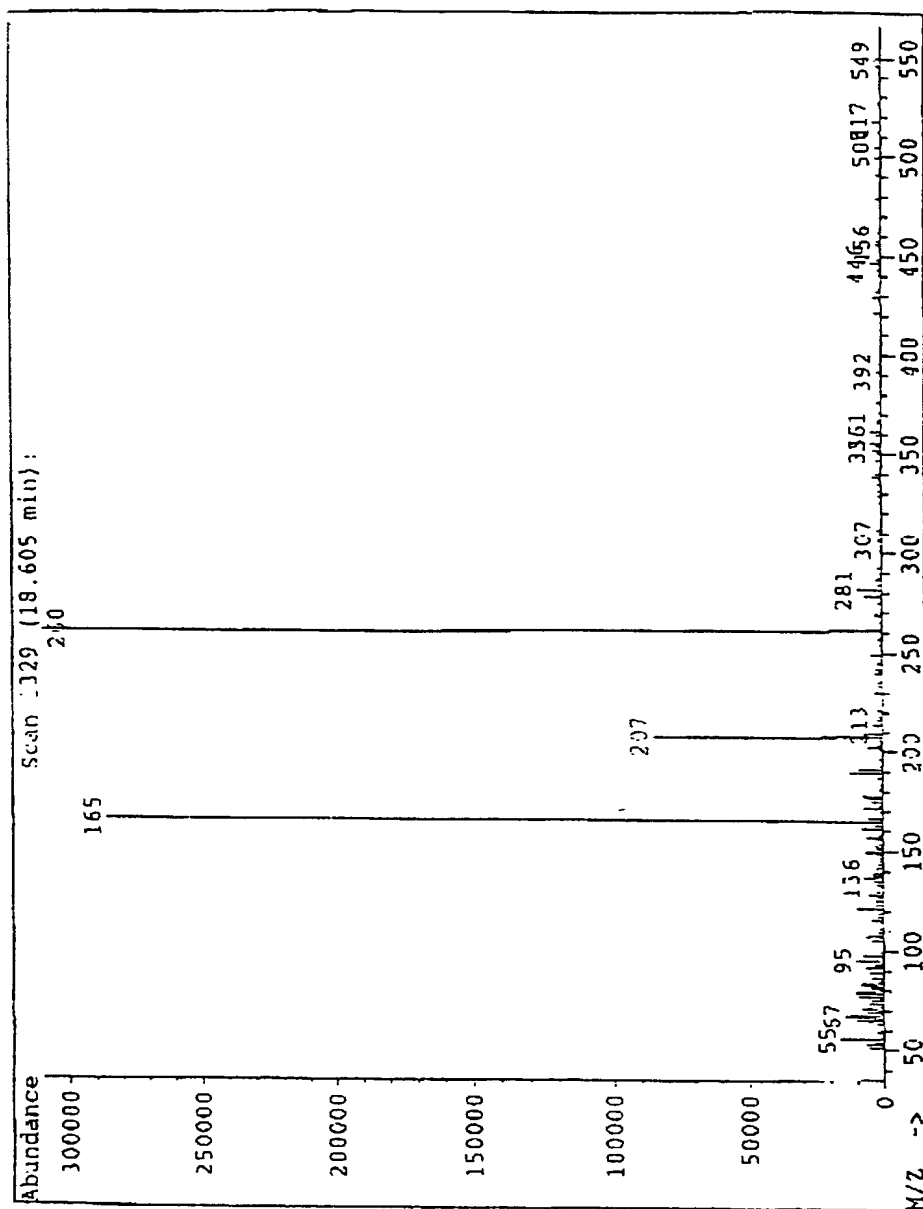


Fig. 1

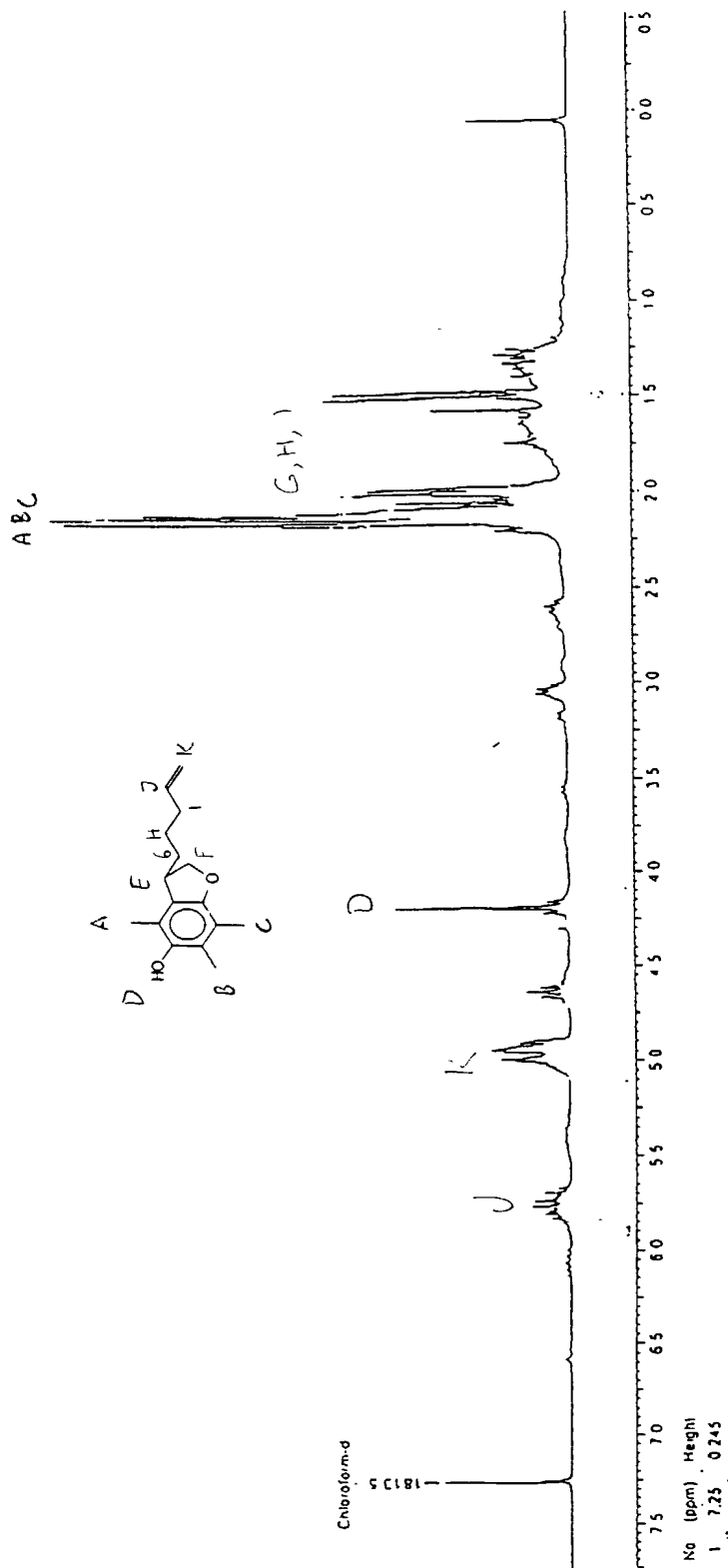


Fig. 2

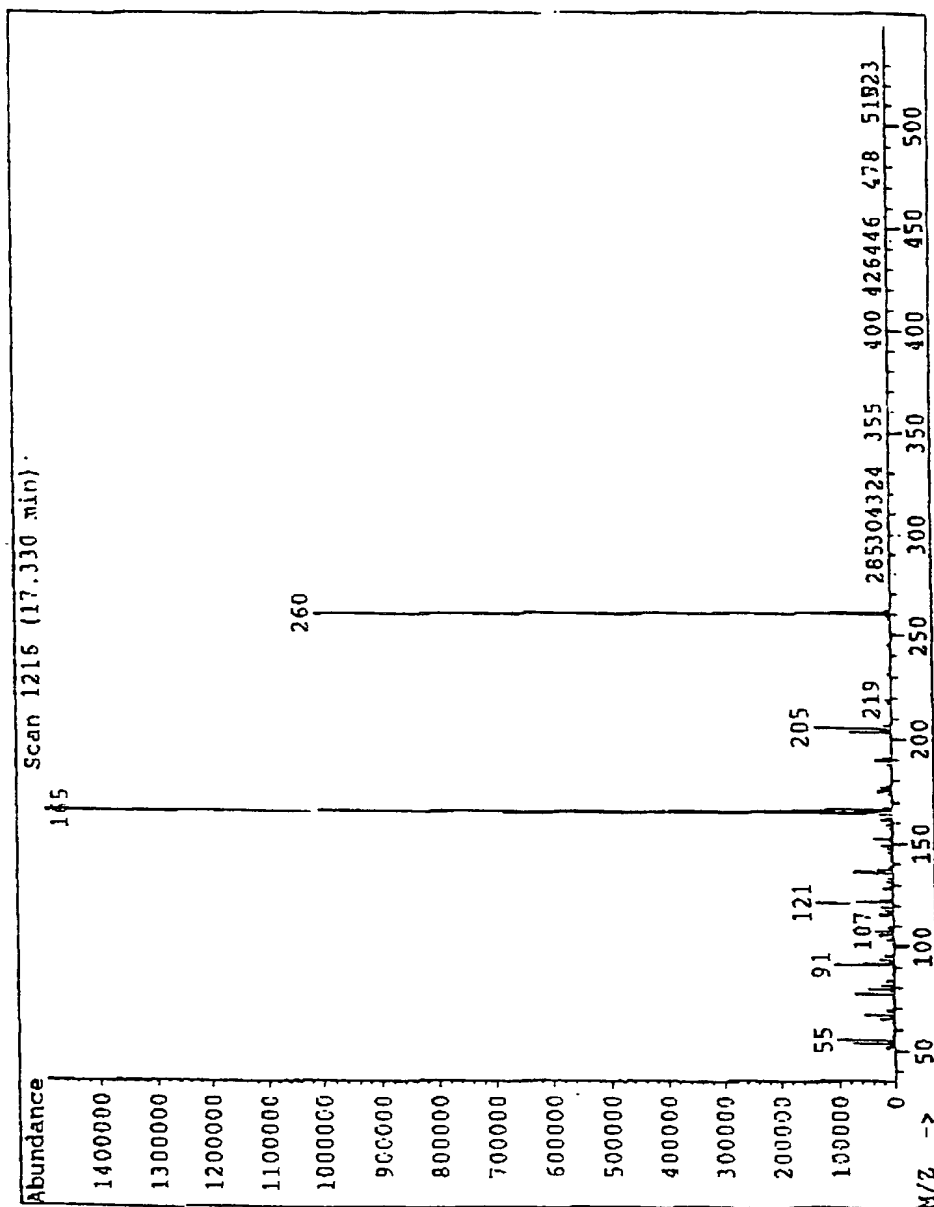


Fig. 3

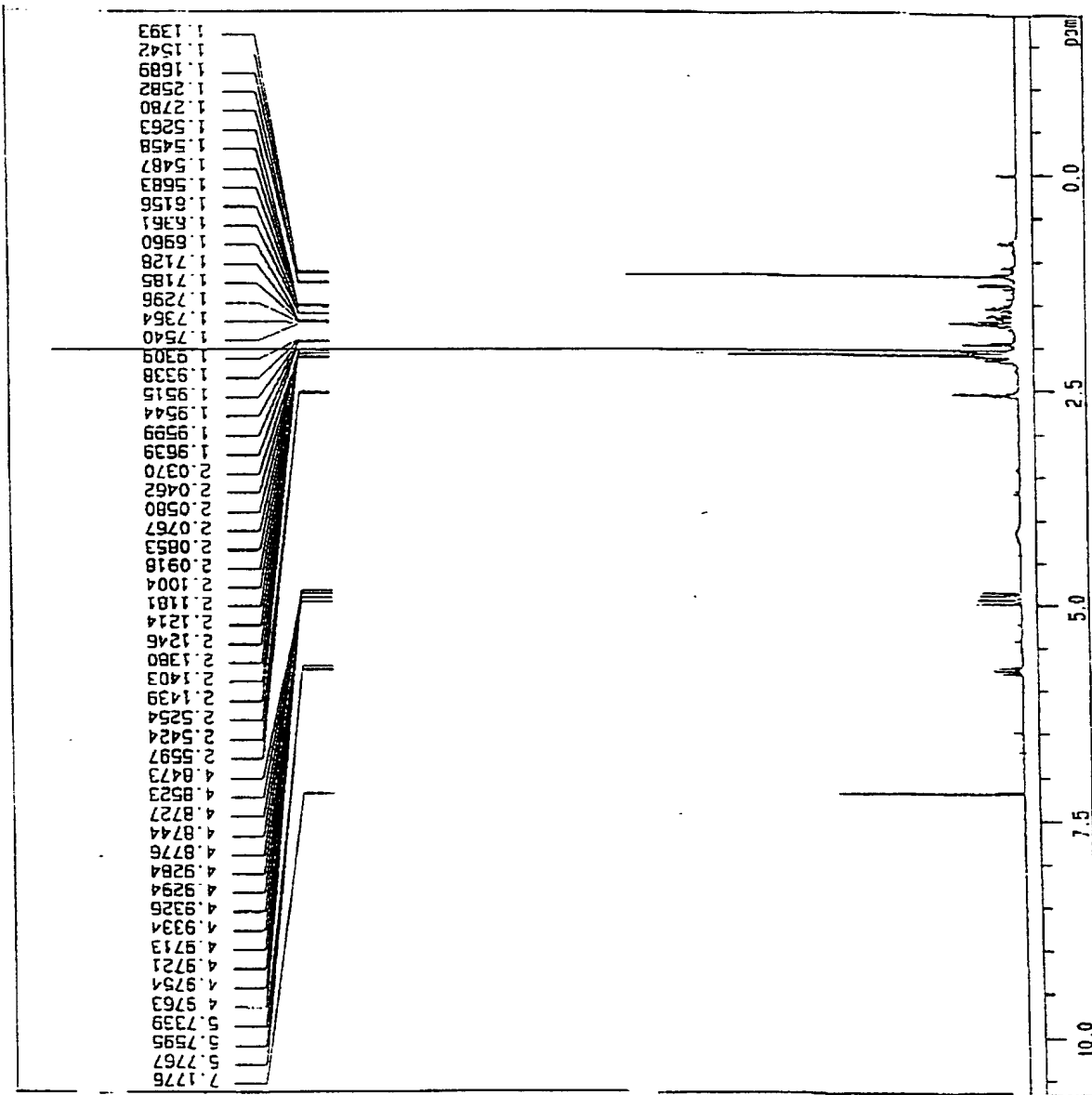
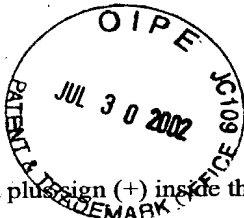


Fig. 4



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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/01  
(8/96)

### DECLARATION

Declaration OR Declaration  
☐ Submitted with Initial Filing ☒ Submitted after Initial Filing

Attorney Docket Number 2534-00066

First Named Inventor Auer, Markku

#### COMPLETE IF KNOWN

Application Number 10/031,739

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A COMONOMER, AND A POLYMER STABILIZED WITH IT DURING POLYMERIZATION

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 06/28/2000 as United States Application Number or PCT

International Number PCT/FI00/00585 and was amended on (MM/DD/YY) 08/15/2001  
(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designed at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Copy Attached?	
				YES	NO
991634	Finland	07/21/1999	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

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Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional <input type="checkbox"/> Application numbers are listed on a supplemental priority sheet attached hereto.

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# DECLARATION

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U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

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As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
Glenn O. Starke	17,031	Edward R. Williams, Jr.	36,057
Eugene R. Sawall	17,431	Joseph D. Kuborn	40,689
Daniel D. Fetterley	20,323	William L. Falk	27,709
George H. Solveson	25,927		
Gary A. Essmann	29,376		
Thomas M. Wozny	28,922		
Michael E. Taken	28,120		
Joseph J. Jochman, Jr.	25,058		
Andrew S. McConnell	32,272		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

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City Milwaukee State Wisconsin Zip 53202-4178

Country United States Telephone (414) 271-7590 Fax (414) 271-5770

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) Family Name or Surname

Markku Auer

Inventor's Signature Date

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POST OFFICE ADDRESS VTT Kemiantechnikka, Biologinkuja 7.

City Espoo State Zip FIN-02150 Country Finland

☒ Additional inventors are being named on supplemental sheet(s) attached hereto.



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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet
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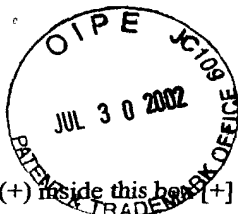
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Carl-Erik				Wilen			
Inventor's Signature				Date			
RESIDENCE: City	Åbo	State		Country	FI	Citizenship	FI
POST OFFICE ADDRESS Åbo Akademi, Teknisk Polymerkemi, Biskopsgatan							
City	Åbo	State		Zip	FIN-20500	Country	Finland

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Juha				Stranden			
Inventor's Signature				Date			
RESIDENCE: City	Åbo	State		Country	FI	Citizenship	FI
POST OFFICE ADDRESS Åbo Akademi, Teknisk Polymerkemi, Biskopsgatan							
City	Åbo	State		Zip	FIN-20500	Country	Finland

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Ari				Rosling			
Inventor's Signature				Date			
RESIDENCE: City	Åbo	State		Country	FI	Citizenship	FI
POST OFFICE ADDRESS Åbo Akademi, Teknisk Polymerkemi, Biskopsgatan							
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<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Jan				Näsman			
Inventor's Signature		Rosa Näsman		Date		7/6 2002	
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POST OFFICE ADDRESS Dragonvägen 58 b A 6							
City	Åbo	State		Zip	FIN-20700	Country	Finland

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Hendrik				Luttikhedde			
Inventor's Signature				Date			
RESIDENCE: City	Turku	State		Country	FI	Citizenship	NL
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City	Turku	State		Zip	FIN-20700	Country	Finland



15458A

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/01 (8/96)  <b>DECLARATION</b>  Declaration OR Declaration <input type="checkbox"/> Submitted with Initial Filing <input checked="" type="checkbox"/> Submitted after Initial Filing	Attorney Docket Number	2534-00066
	First Named Inventor	Auer, Markku
	<b>COMPLETE IF KNOWN</b>	
	Application Number	10/031,739
	Filing Date	
	Group Art Unit	
	Examiner Name	

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Eugene R. Sawall	<u>17,431</u>	Joseph D. Kuborn	<u>40,689</u>
Daniel D. Fetterley	<u>20,323</u>	William L. Falk	<u>27,709</u>
George H. Solveson	<u>25,927</u>		
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Michael E. Taken	<u>28,120</u>		
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Andrew S. McConnell	<u>32,272</u>		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

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Country United States Telephone (414) 271-7590 Fax (414) 271-5770

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) Family Name or Surname

Markku Auer

Inventor's Signature [Signature] Date Jan 14, 2002

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☒ Additional inventors are being named on supplemental sheet(s) attached hereto.

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S) Supplemental Sheet</b>
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200

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Carl-Erik				Wilen			
Inventor's Signature				Date		24 Jan 2002	
RESIDENCE: City		Åbo		State		Country	
POST OFFICE ADDRESS		Åbo Akademi, Teknisk Polymerkemi, Biskopsgatan					
City		Åbo		State		Zip	
				FIN-20500		Country	
						Finland	

300

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Juha				Stranden			
Inventor's Signature				Date		7 May 2002	
RESIDENCE: City		Åbo		State		Country	
POST OFFICE ADDRESS		Åbo Akademi, Teknisk Polymerkemi, Biskopsgatan					
City		Åbo		State		Zip	
				FIN-20500		Country	
						Finland	

400

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
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Inventor's Signature				Date		29 Jan 2002	
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POST OFFICE ADDRESS		Åbo Akademi, Teknisk Polymerkemi, Biskopsgatan					
City		Åbo		State		Zip	
				FIN-20500		Country	
						Finland	

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Jan				Näsman			
Inventor's Signature				Date			
RESIDENCE: City		Åbo		State		Country	
POST OFFICE ADDRESS		Dragonvägen 58 b A 6					
City		Åbo		State		Zip	
				FIN-20700		Country	
						Finland	

600

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Hendrik				Luttikhedde			
Inventor's Signature				Date		6 Feb 2002	
RESIDENCE: City		Turku		State		Country	
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City		Turku		State		Zip	
				FIN-20700		Country	
						Finland	